

VEHICLE AND OPERATOR SERVICES AGENCY

Enforcement Sanctions Policy

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GENERAL GUIDANCE NOTES

GENERAL GUIDANCE NOTES

INTRODUCTION

INTRODUCTION

This guide outlines appropriate course of action to be taken when dealing with the more common road traffic offences encountered by VOSA Examiners when examining vehicles at the roadside. All road traffic and construction & use offences are laid down in the appropriate Acts and Regulations and these form the basic standard for all VOSA's enforcement activities. All infringements, regardless of severity, identified by Examiners will as a minimum be brought to the driver's / operator's attention.

Whilst on the vast majority of occasions action taken by Examiners will follow that shown in this guide, it should however be noted there will inevitably be occasions where the nature and/or severity of the offence or the particular circumstances may dictate that the guidelines detailed herein are not appropriate and therefore Examiners have discretion to determine what further action is needed in the public interest and to properly enforce the law. Where action differs from the course recommended Examiners must record the reasons in their pocket books and case papers. In cases of uncertainty about what action is necessary, advice must be sought from line management, who may need to consult Policy, Operations or the RIU before any final action is proposed / taken.

The guide aims to provide guidance on best practice and a consistent approach for VOSA Examiners enforcing transport legislation. It does not set out to establish strict rules to be followed; nor is it exhaustive. It does, however, provide a framework within which Examiners should normally work. It strikes a balance between ensuring that the best enforcement value is obtained from enforcement resources and not allowing the impression to be created that minor infringements of the law will always be overlooked, or that they are not a serious matter. This is not a legal document and must not be treated as an interpretation of the relevant legislation, which only the courts can provide.

Examiners must be aware that in the guide certain procedures apply to Great Britain (GB) vehicles or drivers and some procedures will apply to United Kingdom (UK) vehicles or drivers. GB is Scotland, England and Wales whilst the UK is GB plus Northern Ireland. It is important to understand this distinction as actions are dependant upon where either the driver lives or where the operator is based.

In many cases Examiners will deal with offences found during roadside inspections by the issue of prohibition and/or fixed penalty notices requiring no follow up action. In cases where the number or seriousness of the offence(s) found indicates that there is systematic abuse of regulations and/or the operator/driver is indulging in fraudulent activities Examiners will make appropriate enquiries to obtain further evidence and will report offenders to the Traffic Commissioner or, in the most serious cases, for prosecution.

When conducting enquiries into complaints, information received or where an operator's history of non-compliance prompts further enquiries, any offences found during the checking of documentation etc will be dealt with, depending on the seriousness/number of the offences, by the issuing of a verbal warning, an advisory letter, a report to the Traffic Commissioner or, in the most serious cases, for prosecution.

GENERAL GUIDANCE NOTES

SANCTIONS

The guide suggests the appropriate range of sanctions to be used for each offence type. In some cases it will be for the Examiner to exercise his / her judgement in determining what action is most appropriate taking each case on its merits. The sanctions that can be applied are as follows:

VERBAL WARNING

Verbal Warnings will be issued by Examiners at the time that the offence is identified. This is appropriate only for minor infringements. Examiners will also give guidance on how to avoid repetition of the offence.

PROHIBITION NOTICES

The purpose of a prohibition notice is to remove the risk to road safety and it is not to be regarded as a penalty. The different types and categories of prohibition currently issued are listed below. During a roadside inspection there may be more than one prohibition issued per vehicle depending on the offences or defects found. In such cases there should only be one of each type of prohibition notice (although there may be continuation pages). In the case of vehicles found in breach of regulations relating to the carriage of dangerous goods separate notices will be issued for each individual breach to comply with the requirements of the Health and Safety Executive.

NOTICES COMING INTO FORCE IMMEDIATELY:

Traffic Enforcement:

- Prohibition Notice TE 160 and TE 160DH are issued to UK vehicles
- Prohibition Notice GV 170 are issued to non-UK vehicles.

Roadworthiness:

For defects where driving of the vehicle would involve a risk of injury to any person:

- Prohibition Notice PG9 issued to UK vehicles (indicated by PG9I in action column)
- Prohibition Notice GV 171 are issued to non-UK vehicles

Note: In the case of defects which, if found on a UK vehicle, would attract a delayed prohibition an immediate prohibition will be issued to non-UK vehicles.

GENERAL GUIDANCE NOTES

SANCTIONS CONT

<p>PROHIBITION NOTICES</p> <p>CONT</p>	<p>NOTICES COMING INTO FORCE UP TO 10 DAYS FROM THE DATE OF INSPECTION-</p> <p>UK vehicles found by an Examiner to have roadworthiness defects where, in the Examiner's opinion the vehicle is, or likely to become unfit for service and there is no risk of injury to any person. The prohibition will come into force at the specified time and date which will be up to 10 days from the date of the inspection:</p> <ul style="list-style-type: none"> • Prohibition Form PG9 (indicated by PG9D in action column) <p>Examiners will normally select one of the following periods of delay, which have been grouped together into three bands according to the number and severity of the defects listed on the prohibition notice (PG9).</p> <ul style="list-style-type: none"> • Maximum of 10 days - less than 5 defects in non-critical areas • 4 to 7 days - 1 defect in a safety critical area, or 5 more defects in non-critical areas • Up to 3 days - more than 1 defect in a safety critical area of the vehicle <p>Safety critical defects or systems are those that could affect the control or directional stability of the vehicle.</p> <p>NOTICES ENDORSED 'S'</p> <p>Roadworthiness prohibitions, both immediate and delayed, will be endorsed 'S' if, in the Examiner's opinion, any of the defects which led to the prohibition was an indicator that a significant failure of the operators maintenance system had allowed the defects to develop. These are defects that the operator and / or driver should have been aware of through any or all of the following:</p> <ul style="list-style-type: none"> • doing daily / first use 'walkround' inspection; • the driving of the vehicle; • being responsible for or knowing of a defect or an inadequate repair
<p>INSPECTION NOTICE</p>	<p>Where Examiners find roadworthiness defects not serious enough to warrant prohibition, they will advise the driver (user) using a Vehicle Inspection Notice (PG35EC). This notice is advisory only and does not in itself prevent further use of the vehicle.</p>
<p>OFFENCE RECTIFICATION NOTICES</p>	<p>For certain offences Examiners will issue an offence rectification notice (ORN) to the driver at the roadside, a copy of which will be sent to the operator. The notice states that if the offence is rectified within a set period of time and documentary evidence is produced to this effect, then no further action will be taken. If however the operator fails to respond within the time period then Examiners will conduct follow up enquiries.</p>

GENERAL GUIDANCE NOTES

SANCTIONS CONT

FIXED PENALTY AND DEPOSIT NOTICES

Fixed Penalty Notices will be issued to any driver of vehicle irrespective of where the vehicle is registered or based, where we know, or have reasonable cause to suspect, that the vehicle is used in conjunction with a trade or business. Any privately used vehicle will not be subject to the Fixed Penalty sanction.

The Fixed Penalty Notices allow VOSA to enforce regulations against non-UK based drivers, and UK drivers without a satisfactory address, more effectively. They will be offered for routine offences detected only during roadside inspections. Serious offences such as using a vehicle in a dangerous condition, falsified records and any element of fraud or where there is more than one endorsable offence continue to be liable for prosecution through the courts. Some minor offences may be dealt with collectively and further details can be found later in the guide.

All drivers, regardless of nationality, will receive a fixed penalty or a court summons based on the severity of the offence as follows:-

Level 1 - £30

Level 2 - £60

Level 3 - £120

Level 4 - £200

The notices are categorised into 4 penalty levels depending on the seriousness of the offence. Some drivers hours' and overloading offences are graduated depending on the severity of offending, so for example, a driver exceeding the daily driving limit by 30 minutes would receive a lower level fixed penalty than a driver exceeding it by 2 hours.

For the time being it is not possible to deal with offences committed prior to the date of inspection by the issue of fixed penalty notices. Any cases involving numerous previous offences will be followed up and reported to the RIU. The most serious offences will be reported for prosecution. When further legislation comes into force it will be possible to deal with such offences by the issue of fixed penalty notices.

DRIVERS WITH A VERIFIABLE UK ADDRESS

Fixed Penalties, or Conditional Offers as they are called in Scotland, are issued to any driver found committing offences who has a UK verifiable address where a summons can be served, up to a maximum of three offences per Examiner per encounter. Any more than three offences per Examiner per encounter or more than one endorsable offence per driver will result in a report for prosecution.

Drivers have 28 days to pay the penalty amount or request a court hearing. Failure to either pay or request a court hearing within the time limit will result in the amount(s) being increased by 50% and being registered as a court fine at the offender's nearest court. Failure to pay a Conditional Offer or request a court hearing in the 28 days period does not increase the penalty by 50% but will result in a report to the Procurator Fiscal who will then decide what action to take.

In cases where some of the offences found are to be reported for prosecution but there are others which could be dealt with by Fixed Penalty notices then all the offences found will be reported for prosecution and no Fixed Penalty notices will be issued.

GENERAL GUIDANCE NOTES

SANCTIONS CONT

FIXED PENALTY AND DEPOSIT NOTICES

CONT

DRIVERS WITHOUT A VERIFIABLE UK ADDRESS

If more than 3 routine offences are found fixed penalty notices will be issued for the 3 most serious offences. Prosecution will only be pursued in the most serious of circumstances for example: cases of interference with the recording equipment, e.g. magnets, wires etc and cases of false information e.g. false name.

In cases where a Fixed Penalty Notice is to be issued or where the seriousness of the offence(s) warrants a court hearing and the driver is unable to provide a verifiable UK address then a deposit is required immediately from the offender as a summons cannot be served. The deposit is will either be equal to the fixed penalty amount or a set figure of £300 per offence (up to a maximum of £900).

The court deposit is taken to offset any possible court imposed fine. Regardless of whether a deposit has been secured or not, the rights of appeal would be the same and a deposit would be refunded should the driver be found not guilty.

If the driver cannot or refuses to pay the deposit, the vehicle will be prohibited for non payment and will not able to continue on its journey. It may also be immobilised until payment has been received or the case has been disposed of by the court.

GENERAL GUIDANCE NOTES

SANCTIONS CONT

FUTHER ACTION

VEHICLES SUBJECT TO OPERATOR LICENSING

Any Fixed Penalty Notices issued to drivers will affect the Operator Compliance Risk Score (OCRS) of the operator of that vehicle.

Where a driver is being prosecuted for either a serious offence or for more than 3 Fixed Penalty offences Examiners will carry out an analysis of the operator's systems to assess operator culpability. Where there is such evidence and / or further offences are found a report will be submitted to the Regional Intelligence Unit (RIU). In serious cases prosecution action will be taken.

No Further Action (NFA)

Where Examiners are unable to prove an offence, further enquiries reveal no offence has been committed or a valid defence has been established then the offender(s) should be informed by letter that the matter will not be pursued.

Advisory Letter

Where on reviewing the outcome of further enquiries in conjunction with the offender(s) history, a decision is reached that no report is to be submitted either to the Traffic Commissioner or for prosecution the offender should be advised accordingly and informed that the offence has been noted on the Enforcement file and may be referred to if further offences are committed in the future.

Formal Warning Letters

Where a Public Inquiry, Driver Conduct Hearing or prosecution is not deemed appropriate because of the nature or number of the offences or because of the Operator or driver's overall performance a recommendation may be made to the Traffic Commissioner that a formal warning letter should be sent. In this guide advisory letter and warning letter sanctions are combined with the former being the norm.

Roadworthiness prohibition notices

The Area Office, relative to where the operator is based, will assess all 'S' endorsed prohibition notices issued irrespective of whether the notice is immediate or delayed. The number and seriousness of the defects will determine what further action will be taken and this will range from NFA at that time, an advisory letter, a letter requesting an explanation, a visit to the operator to analyse systems which may include checking further vehicles or further roadside inspections. Any adverse findings will be reported to the RIU.

GENERAL GUIDANCE NOTES

SANCTIONS CONT

FUTHER ACTION

CONT

VEHICLES NOT SUBJECT TO OPERATOR LICENSING

The following procedures will only apply to UK vehicles used for commercial purposes but which are not subject to Operators Licensing. Any offences or defects found in relation the use of private vehicles will not be subject to these procedures but may be subject to prohibition notices in order to remove any risks to road safety.

No Further Action (NFA)

Where Examiners are unable to prove an offence, if further enquiries reveal no offence has been committed or a valid defence has been established then the offender(s) should be informed by letter that the matter is will not be pursued

Advisory Letter

Where, on reviewing the outcome of further enquiries in conjunction with the offender(s) available history, a decision is reached that the public interest would not be served by taking prosecution action then the offender should be advised accordingly and informed that the offence has been noted in our records and may be referred to if further offences are committed in the future.

Prosecution Action

In circumstances where there is sufficient evidence to warrant prosecution then such action must be taken in relation to vehicles which are not subject to operator licensing as it is not possible for Traffic Commissioners to deal with such offences.

NON GB VEHICLES

Whilst VOSA is unable to take any direct action against non-GB operators sanctions will be imposed via the drivers of such vehicles by the issue of fixed penalty notices.

GENERAL GUIDANCE NOTES

ACTION KEY CODES

N/A: Not Applicable

NFA: No Further Action

VW: Verbal Warning. Examiners will give advice on how to prevent committing the offence.

AL: Advisory Letter

IN: Inspection Notice (PG35EC)

ORN: Offence Rectification Notice – issued to UK vehicles for minor offences

PG9(D): Prohibition Notice (Delayed) - issued to UK vehicles for roadworthiness offences

PG9(I): Prohibition Notice (Immediate) - issued to UK vehicles for roadworthiness offences

TE160: Prohibition Notice - issued to overweight UK vehicles

TE160(DH): Prohibition Notice - issued to UK vehicles for drivers' hours / records offences

GV170: Prohibition Notice issued to foreign vehicles

DG01: Uniform Monitoring Form for the checking of vehicles carrying hazardous goods

DG02: Prohibition issued to vehicles carrying hazardous goods (Health & Safety at Work Act 1974)

GV171: Immediate Prohibition Notice - issued to foreign vehicles for roadworthiness offences

PN: Prohibition notice issued under the Health & Safety at Work Act 1974

FP LEVEL: Fixed Penalty Notice – the level is dependant on the seriousness of the defect or offence (**E:** Endorsable offence or defect)

FUE: Follow Up Enquiries - Further action will be dependant upon outcome of further enquiries but in any case:

- Where a driver is being prosecuted for either a serious offence or for more than 3 Fixed Penalty offences an analysis of the operator's systems will assess operator culpability. Where there is such evidence and / or further offences are found a report will be submitted to the RIU.
- For any false record or interference device where the operator's system did not prevent the offence occurring the matter will be referred to the RIU. Where the operator has encouraged or participated in a fraudulent activity then prosecution action will be taken against the operator.
- For numerous or serious previous drivers hours offences an analysis of the operator's systems will assess operator culpability. Where there is such evidence and / or further offences are found a report will be submitted to the RIU or in the most serious cases for prosecution.
- Where an operator is deemed solely culpable for an offence any necessary further evidence will be obtained and the matter reported to the RIU or if fraudulent for prosecution. Other options available will be a warning letter if deemed more suitable. Failure to comply with an ORN may result in the matter being reported to the RIU.

P: Prosecution. Court deposit to be taken where offender has no verifiable UK address.

TC: Traffic Commissioner

DSA: Driving Standards Agency

DVLA: Driver and Vehicle Licensing Agency

RIU: Regional Intelligence Unit. The unit assesses all known offences, defects, intelligence and operators risk score to determine further action.

VRO: Vehicle Registration Office

HSE: Health and Safety Executive

RMTD: Radioactive Materials Transport Division

CLE 2/7T: Vehicle Excise Offences Report

SECTION 1 – DEFECTS & DIMENSIONS

GUIDANCE NOTES

ROADWORTHINESS PROHIBITION NOTICES

The Categorisation of Defects details the circumstances under which a roadworthiness prohibition notice will be issued. It can be found in PDF format on the internet.

FIXED PENALTY NOTICES FOR ROADWORTHINESS OFFENCES

Drivers of GB registered vehicles

A fixed penalty Notice may be issued when an Immediate Prohibition Notice is issued in accordance with the Categorisation of Defects and is **also 'S' endorsed** for a significant failing of the maintenance system in relation to defects which:

- have obviously been present for some time (but not necessarily since the last safety check) and should have been spotted by the person responsible for carrying out the daily walk round check/first use inspection, or
- would have been obvious to the driver due to the vehicle's performance or handling or were visible or audible to the driver or because the vehicle's warning systems showed their presence

Where it is evident that the immediate defects found have only just arisen due to random failure of the component e.g. bulb, broken spring, a prohibition notice should be issued. Where the Examiner is satisfied that the defect could have occurred since the driver conducted the daily walk round check or would not have been obvious in the driving/use of the vehicle then no fixed penalty notice should be issued.

Drivers of non-GB registered vehicles

As drivers of such vehicles are regarded to be acting as agents for non-GB Operators they will receive a Fixed penalty Notice when a Prohibition Notice is issued for any immediate defect as detailed in the Categorisation of Defects. There is no requirement for the driver to be culpable in any way for either notice to be issued.

Privately used vehicles

In the case of offences which are prohibitable the appropriate prohibition notices will be issued in order to address the road safety issue. No Fixed Penalty Notice will be issued nor will the offender be reported for prosecution. The user of the vehicle should however be advised on how to avoid a repetition of the offence.

SECTION 1 – DEFECTS & DIMENSIONS

SECTION 1 GUIDANCE NOTES CONT

TYPES OF ROADWORTHINESS OFFENCES

Within Section 1 there are two types of roadworthiness offences listed. These are **specific** offences which relate to brakes, steering or tyres and **non specific**.

SPECIFIC OFFENCES – SECTION 41A

These are offences where the Construction and Use Regulations detail the presence, maintenance or condition of a specific component relating to brakes, steering-gear or tyres. So, for example, Regulation 18 requires that “every part of every braking system and the means of operation thereof fitted to a vehicle shall be maintained in good and efficient working order and be properly adjusted”.

Any failure to comply with one of these requirements is an offence under section 41A of the Road Traffic Act 1988. These offences are endorsable.

NON-SPECIFIC OFFENCES – SECTION 42

For defects other than brakes, steering-gear or tyres, Section 42 of the Road Traffic Act 1988 makes it an offence to fail to comply with any construction and use requirements that being Regulation 100(1) of the Road Vehicles (Construction and Use) Regulations 1986 which requires motor vehicles and trailers to be in such condition that no danger or likelihood of danger is caused.

These offences are not endorsable.

SECTION 40A

Although in the majority of cases non-specific offences will be dealt with under Section 42, and by the issue of Fixed Penalty notices, Examiners may take prosecution action under Section 40A of the Road Traffic Act 1988 where in their opinion the overall condition of the vehicle, taking into account all of the defects found, is such that a danger has either been caused or is being caused.

Fixed Penalty Notices will not be issued for Section 40A offences as these offences are endorsable and a discretionary disqualification.

For further details on Regulation 100 and Section 40A please see the separate page later in the guidance notes.

SECTION 1 – DEFECTS & DIMENSIONS

SECTION 1 GUIDANCE NOTES CONT

SPEED LIMITERS

General notes

The Table of RSL Thresholds which can be found in the Categorisation of Defects.

Examiners should bear in mind the defences in sub section 4 in Regulation 36A and sub section 5 in Regulation 36B.

Comparison between the speed trace on the tachograph chart and the set speed on the speed limiter plate [taking into account Regulation 36B(11A)] may reveal cause for further examination or follow up enquiries. When examining tachograph charts there must be clear evidence that the vehicle is exceeding its legal limited speed and care must be taken that the excess speed is not due to over-run or an isolated incident. It may be necessary to check more than one chart to show sustained periods of excess speed (sustained period is in excess of 10 minutes, excess speed must be in excess of 10km/h of legal limited speed).

If there is evidence that the speed limiter effectiveness has been impaired deliberately or the defect is of longstanding (since the previous working week) identified from tachograph charts, then an 'S' marking on the prohibition would be justified

Frequent incidents of excess speeding should be reported to the Traffic Commissioner for possible action against the Operator Licence Statement of Intent.

Instrumented Check

Instrumented checks by Examiners can only be carried out on analogue equipment. A prohibition issued for a defect in relation to **this item only** can be removed by submission of satisfactory evidence (not a photocopy) from an authorised sealing agent.

Digital Tachograph Overspeed Setting

The value for the "overspeed" setting is programmed into the digital tachograph at the time of calibration and is that shown on the speed limiter plaque in the cab. The purpose of the "overspeed" recording is to determine the instances when the vehicle has travelled at speeds in excess of its authorised speed. Therefore, should vehicles be found where the "overspeed" value is set at a higher value than the speed limiter plaque, so long as that overspeed value is less than or the same as the maximum allowable speed for that vehicle, **no prohibition or other action should be taken**, for example:-

- plaque speed = 85 kph
- overspeed = 90kph - maximum allowable speed for vehicle according to legislation = 90kph

- then no action to be taken

SECTION 1 – DEFECTS & DIMENSIONS

SECTION 1 GUIDANCE NOTES CONT

REGULATION 100

Within this Regulation there are three distinct elements, namely, likely to be a danger, likely to be a nuisance and the unsuitability of the vehicle, and proof for each is equally distinct.

The three sub-sections to this offence are:-

- **100(1)** – Requires that all parts and accessories of vehicles / trailers shall at all times be in shall condition, the number and manner of passengers carried, the weight, distribution, the packaging and the adjustment of the load shall at all times be such, that no danger is caused or is likely to be caused to any person in or on the vehicle or trailer or on a road.
- **100(2)** – Requires that the load carried shall at all times be so secured, if necessary by physical restraint other than by its own weight, and in such a position that no danger or nuisance is likely to be caused (either to property or persons). Only likelihood to cause danger or nuisance needs to be proved.
- **100(3)** - Vehicle is unsuitable for the purpose that it is being used for. Only likelihood to cause danger or nuisance needs to be proved.

SECTION 40A

Section 40A of the Road Traffic Act 1988 is a free standing section not dependent on there being a breach of Construction & Use Regulations. Section 40A prohibits the use etc of a motor vehicle or trailer when the condition or purpose or the number of passengers carried or its load is such that the use involves a danger of injury to any person. The offence carries discretionary disqualification and an obligatory endorsement.

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
TYRES					
SIZE / TYPE OF TYRES	IM 7	Unsuitable load / speed rating	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 25 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 7A	Mixing of tyres – types / sizes	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 26 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
CONDITION OF TYRES	IM 8A	Tread below 1.6 mm – vehicles falling into classes 4, 5, 7	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(4)(d)&(f) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 8B	Tread below 1 mm - HGV / PSV	£120 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(g) and Section 41A of the Road Traffic Act 1988, SO-5-3pts

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
CONDITION OF TYRES CONT	IM 8C	Tyre under inflated	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(b) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 8D	Tyre has lump, bulge or tear	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(d) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 8E	Tyre has break or cut	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(c) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 8F	Tyre with ply / cord exposed	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Reg. 27(1)(e) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
SPARE WHEEL / CARRIER					
SPARE WHEEL / CARRIER	IM 10	Insecure – detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
ROAD WHEELS AND AXLES					
ROAD WHEELS AND HUBS	IM 6	Road wheel – missing / condition / security – failure imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
AXLE, STUB AXLE AND WHEEL BEARINGS	IM53A	Running gear - component defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM53B	Running gear - component defective affecting control	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
STEERING / STEERING CONTROLS / DRIVING CONTROLS					
STEERING MECHANISM	IM 54	Component defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
STEERING CONTROL	IM 30	Component defective / insecure / free play	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
DRIVING CONTROLS	IM 28	Component defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
BRAKING SYSTEM					
BRAKING SYSTEM AND COMPONENTS	IM 59	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
BRAKING SYSTEM AND COMPONENTS - AGRICULTURAL VEHICLE / TRAILER	IM 59B	No braking system	£60 FP	Yes	Regulation 16(4) Item 26 Requirement 3, Schedule 3 of the Road Vehicles (Construction and Use) Regulations 1986 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
ADDITIONAL BRAKING DEVICES	IM 58A	Component insecure -detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 58B	Component defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
BRAKE PERFORMANCE	IM 71	Service brake - system defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 72	Secondary brake - system defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 73	Parking brake - system defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
SERVICE BRAKE PEDAL	IM 37	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
SERVICE BRAKE OPERATION	IM 38	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
TRAILER BRAKES	IM12	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18(1) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
MECHANICAL HAND LEVER	IM 36	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18(1) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
HAND CONTROL VALVES	IM 39	Defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18(1) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
RESERVOIR WARNING DEVICE	IM 34A	Brake vacuum / pressure warning device – missing	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 17(1) and Section 41A of the Road Traffic Act 1988, SO-5-3pts
	IM 34B	Brake vacuum / pressure warning device – defective	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
EXHAUST SYSTEM AND EMISSIONS					
EXHAUST SYSTEMS	IM46	System defective	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 54(2) and Section 42 of the Road Traffic Act 1988, SO-4
EXHAUST EMISSIONS	IM 5C	Emitting excessive smoke	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 61(1) and Section 42 of the Road Traffic Act 1988, SO-4
FUEL TANK					
FUEL TANK	IM 45A	Dangerously insecure	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 45B	Leakage from tank	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 39(2)(a) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 45C	Filler cap missing or defective	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 39(2)(a) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
LEAKS					
OIL AND WASTE LEAKS	IM 44	Fuel / oil / waste leaking onto road (not from tank)	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 61(5)(a) and Section 42 of the Road Traffic Act 1988, SO-4
OIL AND WASTE LEAKS CONT	IM 44A	Waste leaking from PSV	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 62 and Section 42 of the Road Traffic Act 1988, SO-4
ENGINE AND TRANSMISSION					
ENGINE AND TRANSMISSION	IM 43	Mounting insecure – detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
TRANSMISSION	IM 57	Transmission component -insecure or failure imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
TACHOGRAPH / SPEED LIMITER					
TACHOGRAPH		Go to Section 4 for tachograph defects.			

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
SPEED LIMITER	IM 33	Not fitted	£120 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) (PSV) or 36B(6) (Goods) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 33	Not restricting speed to legal Maximum	£120 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) (PSV) or 36B(6) (Goods) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 33	Interfered with	P	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) (PSV) or 36B(6) (Goods) and Section 42 of the Road Traffic Act 1988, SO-4
	1-69	Plate not displayed in a conspicuous position in the cab If operator fails to comply with ORN requirements	ORN FUE	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) (PSV) or 36B(6) (Goods) and Section 42 of the Road Traffic Act 1988, SO-4
CHASSIS AND SUSPENSION					
CHASSIS CONDITION	IM 41	Chassis in unsafe condition	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
SUSPENSION	IM 48A	Suspension which is not part of steering - defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 48B	Suspension forms part of steering and steering affected by defect	£60 FP	Yes	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts
REAR UNDER-RUN AND SIDEGAURDS					
REAR UNDER-RUN	IM 9A	Damaged or insecure	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
SIDEGUARDS	IM 9B	Damaged or insecure	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
WINGS / ARCHES					
WINGS / ARCHES	IM 14	Wing or spray suppression - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 14B	Missing, holed or seriously weakened	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 63 and Section 42 of the Road Traffic Act 1988, SO-4
TRAILER LANDING LEGS					
TRAILER LANDING LEGS	IM 13A	Leg – fitment insecure	£60 FP		The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 13B	Pad, wheel or handle – fitment insecure	£60 FP		The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
VEHICLE / TRAILER COUPLING					
VEHICLE / TRAILER COUPLING	IM 11	Defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
CAB SECURITY					
CAB SECURITY	IM 15A	Mounting or tilt retention device - defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 15B	Wind deflector - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
BODY OF VEHICLE / DOORS					
BODY SECURITY	IM 19	Fitment insecure or inoperative	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
BODY CONDITION	IM 20	Damaged / protruding / insecure	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
BODY INTERIOR	IM 21	Body / fitments – condition likely to cause danger	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
DOORS	IM 16	Door / fitting - defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
DRIVER CAB STEP AND SEAT					
DRIVERS CAB STEP	IM 17	Step / step ring - damaged or insecure	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
DRIVERS SEAT	IM 18A (D)	Drivers seat - defective	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
DRIVERS SEAT CONT	IM 18B (D)	Adjustment defective	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 104 and Section 42 of the Road Traffic Act 1988, SO-4
LIGHTS / REFLECTORS / REAR MARKINGS					
REAR MARKINGS AND REFLECTORS	IM 62A	Rear marker – detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 62B	Reflector - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 66C	Obligatory lamps, reflectors, rear markings or devices not fitted	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 18 and Section 42 of the Road Traffic Act 1988, SO-4
LAMPS	IM 63A	Front position lamp insecure - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
LAMPS	IM 63B	Rear position / fog / reversing lamp insecure - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 63J	Obligatory rear position / fog / reversing lamp - defective / obscured	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 63C	Headlamp - defective	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 63D	Headlamp insecure – detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 63F	Stop lamps – defective	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 63G	Stop lamps insecure – detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
LAMPS CONT	IM 63H	Stop lamp - obscured	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 19 and Section 42 of the Road Traffic Act 1988, SO-4
	IM 66C	Obligatory lamps, reflectors, rear markings or devices not fitted	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 18 and Section 42 of the Road Traffic Act 1988, SO-4
INDICATORS AND HAZARD WARNING LAMPS	IM 66A	Indicator lamp insecure - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	IM 66B	Indicator lamp – defective	£30 FP	No	The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4
ELECTRICAL AND WIRING EQUIPMENT					
ELECTRICAL WIRING AND EQUIPMENT	IM 42	Electrical system safety risk	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
WASHERS & WIPERS					
WASHERS / WIPERS	IM 25	No washer / wiper when required	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 34(6) and Section 42 of the Road Traffic Act 1988, SO-4
AUDIBLE WARNING					
HORN	IM 27	Insecure - detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
MIRRORS					
MIRRORS	IM 22	Missing / defective	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 33 and Section 42 of the Road Traffic Act 1988, SO-4
	IM 22B	Detachment imminent	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
VIEW TO THE ROAD AHEAD					
VIEW TO FRONT	IM 23A	View seriously impaired	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 104 and Section 42 of the Road Traffic Act 1988, SO-4
	IM 23B	Glass seriously damaged	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4
	1-80	PSV - Not fitted with safety glass	£30 FP	No	Regulation 31(2) (item 1 in the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4
	IM 23C	Excessively tinted	£30 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 32(10) and Section 42 of the Road Traffic Act 1988, SO-4
SEAT BELTS					
SEAT BELTS		Insufficient or no seat belts fitted to cater for the number of children carried on minibus or coach	Go to offence 11-16	Go to offence 11-16	

SECTION 1 – DEFECTS & DIMENSIONS

COMPONENT	PLS CODE	DEFECT / OFFENCE DESCRIPTION	PENALTY LEVEL	ENDORSABLE	REG
ACCESSIBILITY FEATURES					
ACCESSIBILITY FEATURES	IM 24	Wheel chair boarding device unsafe	£60 FP	No	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
WARNING BEACONS					
1-60	WARNING BEACON NOT FITTED	If used on unrestricted dual carriageway, has four wheels and a maximum speed not exceeding 25 mph If no danger exists Otherwise than above			The Road Vehicles, Lighting Regulations 1989, Regulation 17(1)(a)&(b) and Section 42 of The Road Traffic Act 1988, SO-4
1-60.1	CAUSE		VW	N/A	
1-60.2	PERMIT		FUE	N/A	
			FUE	N/A	
			FUE	N/A	
1-61	WARNING BEACON FITTED BUT NOT IN USE	If used on unrestricted dual carriageway, has four wheels and a maximum speed not exceeding 25 mph If no danger exists and can be switched on Otherwise than above			The Road Vehicles Lighting Regulations 1989, Regulation 26, The Road Traffic Act 1988, Section 42, SO-4
1-61.1	CAUSE		VW	VW	
1-61.2	PERMIT		FUE	VW	
			FUE	N/A	
			FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
PARKING WITHOUT LIGHTS					
1-40A	PARKING WITHOUT LIGHTS		FUE	VW	The Road Vehicles Lighting Regulations 1989, Regulation 24(1)(b) and Section 42 of the Road Traffic Act 1988, SO-4
1-40A.1	CAUSE		FUE	N/A	
1-40A.2	PERMIT		FUE	N/A	
DRIVERS DISTRACTIONS					
1-42A	USE WHEN TV VISIBLE	Driver is in such a position as to be able to see, whether directly or by reflection, a television set receiving apparatus except when displaying information:-	£30 FP	£30 FP	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 109 and Section 42 of The Road Traffic Act 1988, SO-4
1-42A.1	CAUSE		FUE	N/A	
1-42A.2	PERMIT	<ul style="list-style-type: none"> - about the state of the vehicle or its equipment; - about the location of the vehicle and the road it is on - to assist the driver to see the road adjacent to the vehicle - to assist the driver to reach his destination 	FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-42B	USE HAND-HELD MOBILE PHONE WHILE DRIVING		RIU	VW	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 110(1)(a) and Section 41D of The Road Traffic Act 1988, SO-4
1-42B.1	CAUSE		RIU	N/A	
1-42B.2	PERMIT		RIU	N/A	
LEAVING VEHICLE UNATTENDED					
1-62	LEAVING VEHICLE UNATTENDED - FAIL TO STOP ENGINE AND SET BRAKES				The Road Vehicles (Construction & Use) Regulations 1986, Regulation 107, Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Providing the vehicle is not likely to cause danger	VW	VW	
		Otherwise than above	£30 FP	£30 FP	
	- OPERATOR	Providing the vehicle is not likely to cause danger	NFA	N/A	
		Otherwise than above	FUE	N/A	
1-62.1	CAUSE		FUE	N/A	
1-62.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DIMENSIONS					
1-43	OVERALL WIDTH OF A MOTOR VEHICLE EXCEEDS 2.55 METRES				The Road Vehicles (Construction & Use) Regulations 1986, Regulation 8(1) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Excess up to 10 cm	VW	VW	
		Excess over 10 cm	£30 FP	GV170 £30 FP	
	- OPERATOR	Excess up to 10 cm	AL	N/A	
		Excess over 10 cm	FUE	N/A	
1-43.1	CAUSE		FUE	N/A	
1-43.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-44	OVERALL WIDTH OF A REFRIGERATED MOTOR VEHICLE EXCEEDED 2.60 METRES - DRIVER - OPERATOR	Excess up to 10 cm Excess over 10 cm Excess up to 10 cm Excess over 10 cm	VW £30 FP AL FUE	VW GV170 £30 FP N/A N/A	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 8(1) and Section 42 of The Road Traffic Act 1988, SO-4
1-44.1	CAUSE		FUE	N/A	
1-44.2	PERMIT		FUE	N/A	
1-45	EXCEED OVERALL HEIGHT - DRIVER - OPERATOR CAUSE PERMIT	Buses not to exceed 4.57m (includes any detachable structure)	£30 FP FUE	GV170 £30 FP N/A	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 9 and Section 42 of The Road Traffic Act 1988, S0-4
1-45.1	CAUSE		FUE	N/A	
1-45.1	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-46A 1-46A.1 1-46A.2	VEHICLES ABOVE 3M HIGH CAUSE PERMIT	Height indication must be in feet and inches (can also be in metres) in numbers at least 40mm tall If the indicator is present but not correct or is not present	£30 FP VW VW	£30 FP N/A N/A	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 10(1) & (2) and Section 42 of The Road Traffic Act 1988, SO-4
1-46B 1-46B.1 1-46B.2	VEHICLES WITH HIGH POWER OPERATED EQUIPMENT WITH A MAXIMUM HEIGHT OF MORE THAN 3M MUST BE FITTED WITH AN ALARM CAUSE PERMIT	Warning device not fitted, inoperative or not visible to the driver	VW VW VW	VW N/A N/A	The Road Vehicles (Construction & Use) Regulations 1986, Regulation 10A(1) and Section 42 of The Road Traffic Act 1988, SO-4

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-47	EXCEEDING 15.5M IN LENGTH (ARTICULATED VEHICLE)	<p>Articulated vehicle exceeding 15.5m but does not meet the following criteria :-</p> <p>The longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (i) 12.5m in the case of a car transporter, or (ii) 12m in any other case; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 4.19m from the axis of the king-pin, in the case of a car transporter, or 2.04m in any other case.</p>			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3 of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>VW</p> <p>£30 FP</p>	<p>VW</p> <p>GV170 £30 FP</p>	
	- OPERATOR	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	
1-47.1	CAUSE		FUE	N/A	
1-47.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-48	EXCEEDING 16.5M IN LENGTH (ARTICULATED VEHICLE)	The longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (i) 12.5m in the case of a car transporter, or (ii) 12m in any other case; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 4.19m from the axis of the king-pin, in the case of a car transporter, or 2.04m in any other case.			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3a of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Up to 30 cm Over 30 cm	VW £30 FP	VW GV170 £30 FP	
	- OPERATOR	Up to 30 cm Over 30 cm	AL FUE	N/A N/A	
1-48.1	CAUSE		FUE	N/A	
1-48.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-48A	EXCEEDING 18M IN LENGTH (ARTICULATED VEHICLE THE SEMI-TRAILER BEING A LOW LOADER)	The longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (i) 12.5m in the case of a car transporter, or (ii) 12m in any other case; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 4.19m from the axis of the king-pin, in the case of a car transporter, or 2.04m in any other case.			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3b of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Up to 30 cm Over 30 cm	VW £30 FP	VW GV170 £30 FP	
	- OPERATOR	Up to 30 cm Over 30 cm	AL FUE	N/A N/A	
1-48A.1	CAUSE		FUE	N/A	
1-48A.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-49	EXCEEDING 18M IN LENGTH (RIGID VEHICLE AND TRAILER)	<p>Motor vehicle drawing a trailer which exceeds 18m but does not meet the following criteria :-</p> <p>If both vehicles in the combination are goods vehicles - the maximum distance measured parallel to the longitudinal axis of the combination of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer, less the distance between the rear of the motor vehicle and the front of the trailer, does not exceed 15.65m; and the maximum distance measured parallel to the longitudinal axis of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer does not exceed 16.4m.</p>			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 1A of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>VW</p> <p>£30 FP</p>	<p>VW</p> <p>GV170 £30 FP</p>	
	- OPERATOR	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	
1-49.1	CAUSE		FUE	N/A	
1-49.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-50	EXCEEDING 18.75M IN LENGTH (RIGID VEHICLE AND TRAILER)	<p>Motor vehicle drawing a trailer which exceeds 18.75m or meets the following criteria:</p> <p>If both vehicles in the combination are goods vehicles - the maximum distance measured parallel to the longitudinal axis of the combination of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer, less the distance between the rear of the motor vehicle and the front of the trailer, does not exceed 15.65m; and the maximum distance measured parallel to the longitudinal axis of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer does not exceed 16.4m.</p>			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 1 of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>VW</p> <p>£30 FP</p>	<p>VW</p> <p>GV170 £30 FP</p>	
	- OPERATOR	<p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>	<p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	
1-50.1	CAUSE		FUE	N/A	
1-50.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-51	<p>EXCEEDING 12M IN LENGTH</p> <p>- DRIVER</p> <p>- OPERATOR</p>	<p>Rigid motor vehicle but not a vehicle with more than eight passenger seats.</p> <p>Excess up to 15 cm</p> <p>Excess over 15 cm</p> <p>Excess up to 15 cm</p> <p>Excess over 15 cm</p>	<p>VW</p> <p>£30 FP</p> <p>AL</p> <p>FUE</p>	<p>VW</p> <p>GV170 £30 FP</p> <p>N/A</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4 of the table) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-51.1	CAUSE		FUE	N/A	
1-51.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-51A	EXCEEDING 7M IN LENGTH - TRAILER DRAWN BY LGV	Trailer drawn by a vehicle of 3,500 kgs or under			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 9 of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Excess up to 15 cm	VW	VW	
		Excess over 15 cm	£30 FP	GV170 £30 FP	
	- OPERATOR	Excess up to 15 cm	AL	N/A	
		Excess over 15 cm	FUE	N/A	
1-51A.1	CAUSE		FUE	N/A	
1-51A.2	PERMIT		FUE	N/A	
1-52	EXCEEDING 18.75M IN LENGTH - ARTICULATED BUS	Articulated bus			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 2 of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Excess up to 30 cm	VW	VW	
		Excess over 30 cm	£30 FP	GV170 £30 FP	
	- OPERATOR	Excess up to 30 cm	AL	N/A	
		Excess over 30 cm	FUE	N/A	
1-52.1	CAUSE		FUE	N/A	
1-52.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-52A	EXCEEDING 13.5M IN LENGTH - BUS WITH TWO AXLES	Vehicle with over eight passenger seats & 1-34)			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4A of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Excess up to 15 cm	VW	VW	
		Excess over 15 cm	£30 FP	GV170 £30 FP	
	- OPERATOR	Excess up to 15 cm	AL	N/A	
		Excess over 15 cm	FUE	N/A	
1-52A.1	CAUSE		FUE	N/A	
1-52A.2	PERMIT		FUE	N/A	
1-52B	EXCEEDING 15M IN LENGTH - BUS WITH MORE THAN TWO AXLES	Vehicle with over eight passenger seats			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4B of the table) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER	Excess up to 15 cm	VW	VW	
		Excess over 15 cm	£30 FP	GV170 £30 FP	
	- OPERATOR	Excess up to 15 cm	AL	N/A	
		Excess over 15 cm	FUE	N/A	
1-52B.1	CAUSE		FUE	N/A	
1-52B.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-51B	<p>OVERHANG EXCEEDING 60% - HEAVY MOTOR CAR</p> <p>- DRIVER</p> <p>- OPERATOR</p>	<p>The overhang must exceed 60% of the vehicle wheel base.</p> <p>Excess up to 15 cm</p> <p>Excess over 15 cm</p> <p>Excess up to 15 cm</p> <p>Excess over 15 cm</p>	<p>VW</p> <p>£30 FP</p> <p>AL</p> <p>FUE</p>	<p>VW</p> <p>GV170 £30 FP</p> <p>N/A</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 11(1) (item 2 of the table) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-51B.1	CAUSE		FUE	N/A	
1-51B.2	PERMIT		FUE	N/A	
1-70	<p>NO LOAD TO BE CARRIED ON A VEHICLE WHICH WOULD HAVE A LATERAL (WIDTH) PROJECTION WHICH EXCEEDS 305MM</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p>£30 FP</p> <p>FUE</p>	<p>GV170 £30 FP</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(2)(a) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-70.1	CAUSE		FUE	N/A	
1-70.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-71	OVERALL WIDTH OF THE VEHICLE TOGETHER WITH ANY LATERAL PROJECTION OF THE LOAD EXCEEDS 2.9M				The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(2)(b) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-71.1	CAUSE		FUE	N/A	
1-71.2	PERMIT		FUE	N/A	
1-72	NO LOAD TO BE CARRIED ON A VEHICLE WHICH WOULD RENDER THE WIDTH OF THE VEHICLE AND ITS LOAD TO EXCEED 4.3M	Otherwise than above			The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(1) and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-72.1	CAUSE		FUE	N/A	
1-72.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-73	<p>MARKER BOARDS NOT ILLUMINATED BETWEEN SUNSET AND SUNRISE APPLICABLE ONLY WHERE THEY ARE REQUIRED TO BE FITTED</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p>£30 FP</p> <p>FUE</p>	<p>GV170 £30 FP</p> <p>N/A</p>	<p>Regulation 82(2)(b)(ii) & Schedule 12(5)(c) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-73.1	CAUSE		FUE	N/A	
1-73.2	PERMIT		FUE	N/A	
1-74	<p>VEHICLE WHEN THE LOAD PROJECTING TO THE FRONT EXCEEDS 2M BUT DOES NOT EXCEED 3.05M</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p>£30 FP</p> <p>FUE</p>	<p>GV170 £30 FP</p> <p>N/A</p>	<p>Regulation 82(7) and item 2 in the table of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-74.1	CAUSE		FUE	N/A	
1-74.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-74A	VEHICLE WHEN THE LOAD PROJECTING TO THE FRONT EXCEEDS 3.05M				Regulation 82(7) and item 3 in the table of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-74A.1	CAUSE		FUE	N/A	
1-74A.2	PERMIT		FUE	N/A	
1-75	VEHICLE CARRYING A LOAD WHEN THE PROJECTION TO THE REAR EXCEEDS 1M BUT DOES NOT EXCEED 2M				Regulation 82(7) (Item 4 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-75.1	CAUSE		FUE	N/A	
1-75.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-75A	VEHICLE CARRYING A LOAD WHEN THE PROJECTION TO THE REAR EXCEEDS 2M BUT DOES NOT EXCEED 3.05M.				Regulation 82(7) (Item 5 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-75A.1	CAUSE		FUE	N/A	
1-75A.2	PERMIT		FUE	N/A	
1-75B	VEHICLE CARRYING A LOAD WHEN THE PROJECTION TO THE REAR EXCEEDS 3.05M				Regulation 82(7) (Item 6 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4
	- DRIVER		£30 FP	GV170 £30 FP	
	- OPERATOR		FUE	N/A	
1-75B.1	CAUSE		FUE	N/A	
1-75B.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
REG 100 / SECTION 40A / MISCELLANEOUS					
1-54A	<p>100 (1) – MAINTENANCE OR USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER IS CAUSED OR LIKELY TO BE CAUSED</p> <p>– ALL PART AND ACCESSORIES IN SUCH A CONDITION</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>1-54A.1 CAUSE</p> <p>1-54A.2 PERMIT</p>	<p>Exterior body panelling or components loose, damaged or protruding and likely to be a danger to other road users or result in shedding of the load</p>	<p>£60 FP</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV171 £60 FP</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of The Road Traffic Act 1988, SO-4</p>

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-55	<p>100 (1) – MAINTENANCE, USE OF VEHICLE / TRAILER OR CARRIAGE OF PASSENGERS SO AS NOT TO BE A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</p> <p>- IN RELATION TO WEIGHT, DISTRIBUTION, PACKING AND ADJUSTEMENT OF THE LOAD</p> <p>- DRIVER</p> <p>- OPERATOR</p>	<p>This Regulation for weight purposes should only be used in relation to estate cars, dual purpose vehicles, etc. For goods and passenger vehicles see Section 10</p>	<p>TE160 £60 FP</p> <p>FUE</p>	<p>GV171 £60 FP</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-55.1	CAUSE		FUE	N/A	
1-55.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-53	<p>100 (2) – USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</p> <p>– INSECURE LOAD</p> <p>- DRIVER</p> <p>- OPERATOR</p>	<p>If load has slipped but has not actually become detached from the vehicle and is rectified at site</p> <p>If likely to be a danger or at least a nuisance, or if load has actually become detached</p> <p>If load has slipped but has not actually become detached from the vehicle and is rectified at site</p> <p>If likely to be a danger or at least a nuisance, or if load has actually become detached</p>	<p>VW</p> <p>£60 FP</p> <p>NFA</p> <p>FUE</p>	<p>VW</p> <p>GV170 £60 FP</p> <p>N/A</p> <p>N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(2) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-53.1	CAUSE		FUE	N/A	
1-53.2	PERMIT		FUE	N/A	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-55A	<p>100 (3) – USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</p> <p>- UNSUITABLE USE</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p align="center">£60 FP</p> <p align="center">FUE</p>	<p align="center">GV171 £60 FP</p> <p align="center">N/A</p>	<p>The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(3) and Section 42 of The Road Traffic Act 1988, SO-4</p>
1-55A.1	CAUSE		<p align="center">FUE</p>	<p align="center">N/A</p>	
1-55A.2	PERMIT		<p align="center">FUE</p>	<p align="center">N/A</p>	

SECTION 1– DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-56	USING A VEHICLE IN A DANGEROUS CONDITION	<p>Uses or causes or permits use of a vehicle on a road when</p> <ul style="list-style-type: none"> • the condition of it or the accessories or equipment or • the purpose for which it is used or • the number of passengers carried by it, or the manner in which they are carried, or • the number or manner of the distribution of its load, or the manner in which it is secured <p>- is such that the use of the vehicle involves danger of injury to any person</p>			Section 40A of the Road Traffic Act 1988, SO-5, 3pts
	- DRIVER		P	GV171	
	- OPERATOR		FUE	N/A	
1-56.1	CAUSE		FUE	N/A	
1-56.2	PERMIT		FUE	N/A	

SECTION 1- DEFECTS & DIMENSIONS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
1-76	<p>DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION IMPOSED FOR ROADWORTHINESS DEFECT(S) (SECTION 69)</p> <p>- DRIVER</p>	<p>If any of the defects listed on the prohibition have not been rectified AND the driver is aware that the prohibition notice is still in force</p> <p>Otherwise than above</p>	<p>£200 FP</p>	<p>Go to offence 13-6</p>	<p>Section 71(1)(a) of The Road Traffic Act 1988, SO-5</p>
1-76.1	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
1-76.2	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	
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SECTION 2 – DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

SECTION 2– DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVER LICENSING					
2-2	DRIVE ON A ROAD A MOTOR VEHICLE OF ANY CLASS OTHERWISE THAN IN ACCORDANCE WITH A LICENCE AUTHORISING THAT CLASS	In the case of renewal up to 1 month late Otherwise than above	AL P	N/A N/A	Road Traffic Act 1988, Section 87(1) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 [Disq]-3-6pts Disqualification discretionary where driving was not in accordance with any licence that could have been granted to him
2-4	CAUSE PERSON TO DRIVE LGV WITHOUT LICENCE OR APPROPRIATE CLASS OF LICENCE		FUE	N/A	Road Traffic Act 1988, Section 87(2) and Schedule 2 to the Road Traffic Offenders Act 1988, SO-3
2-4.1	PERMIT		FUE	N/A	

SECTION 2– DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-5	CAUSE PERSON TO DRIVE PCV WITHOUT LICENCE OR APPROPRIATE CLASS OF LICENCE		FUE	N/A	Road Traffic Act 1988, Section 87(2) and Schedule 2 to the Road Traffic Offenders Act 1988, SO-3
2-5.1	PERMIT		FUE	N/A	
2-3	DRIVE WHILST DISQUALIFIED		P	N/A	Road Traffic Act 1988, Section 103(1) <i>England & Wales</i> SO-6 months, or level 5, or both -6pts <i>Scotland</i> SO-6 months, or stat max, or both -6pts IND-12 months, or a fine, or both -6pts
2-3A	AID, ABET, COUNSEL OR PROCURE DRIVE WHILST DISQUALIFIED		P	N/A	Road Traffic Act 1988, Section 103(1) and Magistrates' Court Act 1980, Section 44 <i>England & Wales</i> SO-6 months, or level 5, or both -6pts <i>Scotland</i> SO-6 months, or stat max, or both -6pts IND-12 months, or a fine, or both -6pts

SECTION 2- DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-3.1	DRIVING AFTER REFUSAL OR REVOCATION OF LICENCE	Only for refusal on medical or disability grounds	P	N/A	Road Traffic Act 1988, Section 94A(1)(a) <i>England & Wales</i> SO-6 months, or level 5, or both - 3 to 6pts <i>Scotland</i> SO-6 months, or stat max, or both -3 to 6pts IND-12 months, or a fine, or both - 3 to 6pts
2-1	FALSE DECLARATION TO OBTAIN DRIVING LICENCE - LGV OR PCV	Fraudulent declaration as to age, entitlement etc in order to obtain licence	FUE	N/A	Road Traffic Act 1988, Section 174(1), SO-3
2-13	FAIL TO PROVIDE THE SECRETARY OF STATE WITH PARTICULARS OF A NEW ADDRESS ON A DRIVING LICENCE WHEN THE ADDRESS SHOWN CEASED TO BE CORRECT	If notified within 3 months of change Otherwise than above	VW FUE	N/A N/A	Road Traffic Act 1988, Section 99(4) & (5), SO-3
2-13A	FAIL TO NOTIFY DVLA OF A DISABILITY	Fail to notify in writing the nature and extent of the disability.	FUE	N/A	Road Traffic Act 1988, Section 94(1)(a) & (3), SO-3

SECTION 2- DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
ADI					
2-6	GIVE DRIVING INSTRUCTION FOR MONEY OR MONEYS WORTH WHEN NOT ON THE ADI REGISTER		P	N/A	The Road Traffic Act 1988, Section 123(1)(a) & (4)(a), SO-4
2-6A	GIVE DRIVING INSTRUCTION FOR MONEY OR MONEYS WORTH WHEN NOT THE HOLDER OF A CURRENT TRAINEE LICENCE		P	N/A	The Road Traffic Act 1988, Section 123(1)(b) & (4)(a), SO-4
2-6B	EMPLOYED AN UNLICENSED PERSON TO GIVE DRIVING INSTRUCTION FOR MONEY OR MONEYS WORTH WHEN NOT THE HOLDER OF A CURRENT TRAINEE LICENCE		P	N/A	Road Traffic Act 1988, Section 123(1)(b) & (4)(b), SO-4
2-7	EMPLOYED A PERSON TO GIVE DRIVING INSTRUCTION FOR MONEY OR MONEYS WORTH WHEN THE PERSON WAS NOT ON THE ADI REGISTER		P	N/A	Road Traffic Act 1988, Section 123(1)(a) & (4)(b), SO-4
2-8	ADI INSTRUCTOR FAIL TO DISPLAY ADI CERTIFICATE / LICENCE	If instructor then fail to comply with the ORN requirements	ORN P	N/A	Road Traffic Act 1988, Section 123(2) & (6), SO-3

SECTION 2- DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-9	UNREGISTERED INSTRUCTOR USES A TITLE OR OTHER DESCRIPTION OR DISPLAYS A BADGE OR CERTIFICATE TO IMPLY THAT HE IS ADI REGISTERED		P	N/A	Road Traffic Act 1988, Section 135(2), SO-4
2-9A	ALTERS A CERTIFICATE TO IMPLY THAT HE/SHE IS ADI REGISTERED		P	N/A	Road Traffic Act 1988, Section 173(1)(a) & 2(g), SO-4 IND-2 years prison
2-10	EMPLOYER USES OR DISPLAYS A TITLE, BADGE OR CERTIFICATE TO IMPLY THAT HIS EMPLOYEE IS ADI REGISTERED		P	N/A	Road Traffic Act 1988, Section 135(3), SO-4
2-11	EMPLOYER ISSUES AN ADVERTISEMENT CALCULATED TO MISLEAD A PERSON INTO BELIEVING THAT HIS EMPLOYEES ARE ADI REGISTERED		P	N/A	Road Traffic Act 1988, Section 135(3), SO-4
2-12	FAIL TO RETURN ADI CERTIFICATE OR LICENCE WHEN REQUESTED TO DO SO	<p>If retrieved when first visited and reasonable explanation given.</p> <p>If retrieved within reasonable time with reasonable explanation.</p> <p>If refused.</p>	<p>VW</p> <p>AL</p> <p>P</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p>	Road Traffic Act 1988, Section 136, SO-3

SECTION 2- DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
INSURANCE					
2-14	USE A VEHICLE ON A ROAD WHEN THERE WAS NOT IN FORCE, IN RELATION TO USE OF THE VEHICLE, SUCH A POLICY OF INSURANCE OR SUCH A SECURITY IN RESPECT OF THIRD PARTY RISKS AS COMPLIES WITH THE REQUIREMENTS	A fixed penalty for no insurance is only applicable for owner-drivers. Examiners must therefore establish at the roadside that there is no policy in force. Otherwise than above	£200 FP	Inform Police	Road Traffic Act 1988, Section 143(1)(a) & (2), SO-5 Cause / permit: Road Traffic Act 1988, Section 143(1)(b) & (2)
2-14.1	CAUSE		FUE	N/A	
2-14.2	PERMIT		FUE	N/A	
DRIVER CPC					
2-15	DRIVING WITHOUT A VALID DRIVER CPC - INITIAL QUALIFICATION	Driver has not completed initial CPC training	FUE	VW	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 4 (1) & 10(1), SO-3
2-15.1	CAUSE		FUE	N/A	
2-15.2	PERMIT		FUE	N/A	Cause / permit:- Regulations 4 (1) & 10(2), SO-3

SECTION 2- DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-16 2-16.1 2-16.2	DRIVING WITHOUT A VALID DRIVER CPC - PERIODIC QUALIFICATION CAUSE PERMIT	Driver has not completed periodic CPC training	FUE FUE FUE	VW N/A N/A	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 9 (1) & 10(1), SO-3 Cause / permit:- Regulations 9 (1) & 10(2), SO-3
2-17	FAIL TO PRODUCE EVIDENCE OF DRIVER CPC OR EXEMPTION - PERIODIC QUALIFICATION	Driver has made application for a qualification card but has not yet received it Driver has completed periodic CPC training but cannot produce evidence of such or if driver has been previously warned and has taken no action to rectify situation	NFA £30 FP	NFA £30 FP	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 6, 9(1) and 11(1), SO-3
2-18	FAIL TO PRODUCE EVIDENCE OF DRIVER CPC OR EXEMPTION - INITIAL QUALIFICATION	Driver has made application for a qualification card but has not yet received it Driver has completed initial CPC training or is undergoing a vocational training course of a least 6 months duration but cannot produce evidence of such or if driver has been previously warned and has taken no action to rectify situation	NFA £30 FP	NFA £30 FP	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 4(9), 6 and 11(3), SO-3

SECTION 2– DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-19	FAIL TO SURRENDER A REVOKED AUTHORISATION DOCUMENT OR DRIVER QUALIFICATION CARD CONTAINING AN ERROR OR OMISSION, WHEN REQUESTED IN WRITING TO DO SO BY THE COMPETENT AUTHORITY	If driver has not had opportunity to return it If reasonable explanation given for failing to return document but driver has not return it within a reasonable timescale Otherwise than as above	NFA AL FUE	NFA VW VW	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 12(1), (2) & (8), SO-3
2-20	FAIL TO SURRENDER A DRIVER QUALIFICATION CARD WHERE THE NAME OF THE HOLDER CEASES TO BE CORRECT	If driver has not had opportunity to return it If reasonable explanation given for failing to surrender document but driver has not return it within a reasonable timescale Otherwise than as above	NFA AL FUE	NFA VW VW	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 12(3) & (8), SO-3

SECTION 2– DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-21	FORGES, ALTERS OR USES WITH INTENT TO DECEIVE A DOCUMENT – DRIVER CPC	This offence relate to the following documents: - Evidence of a vocational training course (of at least 6 months), or - A driver qualification card, or - A Community Licence with Community code, or - A driver's certificate granted by another member state, or - Any other document issued by another member state certifying initial of periodic CPC	P	P	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(a), SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine
2-22	LENDS TO OR, ALLOWS TO BE USED BY, ANY OTHER PERSON A DOCUMENT – DRIVER CPC	This offence relate to the following documents: - Evidence of a vocational training course (of at least 6 months), or - A driver qualification card, or - A Community Licence with Community code, or - A driver's certificate granted by another member state, or - Any other document issued by another member state certifying initial of periodic CPC	P	P	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(b) SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine

SECTION 2– DRIVER LICENSING, ADI, INSURANCE & DRIVER CPC

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
2-23	MAKES OR HAS IN HIS POSSESSION ANY DOCUMENT SO CLOSELY RESEMBLING SUCH A DOCUMENT AS TO BE CALCULATED TO DECEIVE – DRIVER CPC	This offence relate to the following documents: - Evidence of a vocational training course (of at least 6 months), or - A driver qualification card, or - A Community Licence with Community code, or - A driver's certificate granted by another member state, or - Any other document issued by another member state certifying initial / periodic CPC	P	P	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(c) SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine
2-24	KNOWINGLY MAKES A FALSE STATEMENT TO OBTAIN A DRIVER QUALIFICATION CARD - INITIAL OR PERIOD	This offence relate to the following documents: - Evidence of a vocational training course (of at least 6 months), or - A driver qualification card, or - A Community Licence with Community code, or - A driver's certificate granted by another member state, or - Any other document issued by another member state certifying initial / periodic CPC	P	P	The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(3) SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
VEHICLE EXCISE LICENCE					
3-5	NO EXCISE LICENCE		CLE 2/7T	N/A	Section 29(1), (3) (a) or (b) Vehicle Excise and Registration Act 1994 SO-3 or 5 x Duty payable
3-6	FAIL TO DISPLAY CURRENT EXCISE LICENCE	If produced within reasonable timescale or DVLA database shows vehicle is currently taxed Other than above.	NFA CLE 2/7T	N/A N/A	Section 33(1) & (2), Vehicle Excise and Registration Act 1994, SO-1
3-7	USE A VEHICLE WHEN A HIGHER RATE OF DUTY PAYABLE		CLE 2/7T	N/A	Section 37(1) & (2), Vehicles Excise and Registration Act 1994 SO-3 or 5 x Duty payable
3-1	FORGED VEHICLE EXCISE LICENCE		FUE	N/A	Section 44(1), (2)(a) & (3), Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine <i>Scotland</i> SO-Statutory Maximum IND-2 years prison or both

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
3-2	FRAUDULENTLY ALTERS VEHICLE EXCISE LICENCE		FUE	N/A	Section 44(1), (2)(A) & (3) Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine <i>Scotland</i> SO-Statutory Maximum IND-2 years prison or both
3-3	FRAUDULENTLY USES VEHICLE EXCISE LICENCE		FUE	N/A	Section 44(1), (2)(a) & (3) Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine <i>Scotland</i> SO-Statutory Maximum IND-2 years prison or both
3-4	FRAUDULENTLY LENDS OR ALLOWS A VEHICLE EXCISE LICENCE TO BE USED		FUE	N/A	Section 44(1), (2)(a) & (3) Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine <i>Scotland</i> SO-Statutory Maximum IND-2 years prison or both

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
3-16	MAKES A FALSE DECLARATION OR GIVE MISLEADING INFORMATION FOR A VEHICLE EXCISE LICENCE APPLICATION	False or misleading in any material respect.	FUE	N/A	Section 45(3) & (4), Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine or both <i>Scotland</i> SO- Statutory Maximum IND-2 years prison or fine
REGISTRATION NUMBER					
3-12	REGISTRATION NUMBER NOT AFFIXED - DRIVER	If plate carried and affixed on site Otherwise than above	VW £30 FP	VW £30 FP	The Vehicle Excise and Registration Act 1994, Section 42(1) & (2), SO-3
3-13	REGISTRATION NUMBER NOT AFFIXED - KEEPER	Vehicle stationary on a road without a driver If driver arrives and a number is affixed before vehicle is driven Otherwise than above	NFA VW FUE	NFA VW VW	The Vehicle Excise and Registration Act 1994, Section 42(1) & (2), SO-3
3-14	REGISTRATION NUMBER OBSCURED	If rectified on site Otherwise than above	VW £30 FP	VW £30 FP	Vehicle Excise and Registration Act 1994, Section 43(1)(a) & (2), SO-3

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
3-15	FRAUDULENT USE OF A REGISTRATION MARK		FUE	N/A	Section 44(1), (2)(d) & (3), Vehicle Excise and Registration Act 1994 <i>England & Wales</i> SO-Statutory Maximum IND-2 years prison or fine or both <i>Scotland</i> SO- Statutory Maximum IND-2 years prison or fine
FAIL TO NOTIFY CHANGE OF OWNER / ADDRESS / USE					
3-10	FAIL TO NOTIFY NEW OWNER TO SECRETARY OF STATE	Applies where current vehicle registration document was issued before 24 March 1997	FUE	N/A	Section 59, Vehicle Excise and Registration Act 1994 and Regulation 21(3), Road Vehicles (Registration and Licensing) Regulations 2002, SO-3
3-11	FAIL TO NOTIFY NEW OWNER TO SECRETARY OF STATE	Applies where current vehicle registration document was issued on or after 24 March 1997	FUE	N/A	Section 59, Vehicle Excise and Registration Act 1994 and Regulation 22(2), Road Vehicles (Registration and Licensing) Regulations 2002, SO-3

SECTION 3 – VEHICLE EXCISE & TRADE PLATES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
3-17	REGISTRATION DOCUMENT - FAIL TO NOTIFY CHANGE OF ADDRESS	Failed to send the registration document to DVLA with change of address entered in space provided.	FUE	N/A	Reg.18 of the Road Vehicles (Registration & Licensing) Regulations 2002 and Section 59 of the Vehicle Excise and Registration Act 1994, SO-3
3-18	FAIL TO FURNISH THE SECRETARY OF STATE SUCH DETAILS IN RESPECT OF A NIL LICENCE WHICH CEASED TO BE IN FORCE	Fail to notify a change of use	FUE	N/A	Section 22 (2A)(d), Vehicle Excise and registration Act 1994 SO-2
TRADE PLATES					
3-8	USE TRADE PLATES FOR UNAUTHORISED PURPOSE		£30 FP	N/A	Section 34(1), Vehicle Excise and Registration Act 1994 SO-3 or 5 x Duty payable whichever is the greater
3-9	FAIL TO DISPLAY TRADE LICENCE	Plates are being carried in the vehicle but the driver failed to display then as required Otherwise than above	£30 FP Use offence 3-5	N/A	Section 59(1), Vehicles Excise and Registration Act 1994 and Regulation 33, Road Vehicles (Registration and Licensing) Regulations 1971, SO-3

SECTION 4 – DRIVERS’ HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

GUIDANCE NOTES

EC 561/2006

On the 11th April 2007 EC Regulation 561/2006 replaced EEC Regulation 3820/85 and the GB regulations amending section 96(11A) of the Transport Act 1968 (i.e. The Community Drivers’ Hours and Recording Equipment Regulations 2007) came into force on the 2nd July 2007

EEC 3821/85

EEC Regulation has been amended by the following regulations:

- EEC 3314/90 - Power interruptions to analogue equipment
- EEC 3688/92 - Seals
- EC 2469/95 - Encryption / armoured cables
- EC 1056 /97 - Adaptor for armoured cables
- EC 2135/98 - Inclusion of Annex IB
- EC 1360/2002 - Amendments to Annexes IB and II
- EC 432/2002 - Amendments to IB
- EC 561/2006 - Amendments to some Articles of 3821/85 and 2135/98
- EC 68/2009 – Amendments to Annex IB

SECTION 99

Section 99 of the Transport Act 1968 was amended by The Passenger and Goods Vehicles (Recording Equipment) Regulations 2005 (S.I.2005/1904). The main change was to introduce sub-sections 99ZA to 99ZF which now relate to the powers to inspect records and equipment required under EC 3821/85 and the relating offences.

DIGITAL TACHOGRAPH EQUIPMENT

A vehicle in-scope of EC Regulation 561/06 put into service for the first time on or after the 1st May 2006 is required to be fitted with recording equipment which conforms to the requirements of Annex 1B of EEC 3821/85 (digital).

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVERS' HOURS – EC - BREAKS / REST					
4-34A	<p>DRIVER:-</p> <p>EXCEED 4½ HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</p> <p>(EC REGULATIONS)</p>	<p>If the time has been exceeded by less than 15 minutes:-</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis <p>If time has been exceeded by more than 15 minutes and offence is current - unless valid justification is given, ie genuine traffic congestion or emergency</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 7, Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-34A.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER EXCEEDS 4½ HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</p> <p>(EC REGULATIONS)</p>	<p>If the time was exceeded by less than 15 minutes:-</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If time was exceeded by more than 15 minutes and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 7, Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-38A	<p>DRIVER:-</p> <p>INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</p> <p>(EC REGULATIONS)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If daily rest period reduced by more than 15 minutes and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-38A.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER HAS INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</p> <p>(EC REGULATIONS)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If time was reduced by more than 15 minutes and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 8(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-39A	<p>DRIVER:-</p> <p>INSUFFICIENT REST IN 30 HOUR PERIOD (MULTI-MANNED) (9 HOURS)</p> <p>(EC REGULATIONS)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If daily rest period reduced by more than 15 minutes and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(5), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-39A.2	<p>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVERS HAVE INSUFFICIENT REST IN 30 HOUR PERIOD (MULTI-MANNED) (9 HOURS)</p> <p>(EC REGULATIONS)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If time was reduced by more than 15 minutes and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 8(5), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-40C	<p>DRIVER:-</p> <p>FAIL TO TAKE REQUIRED WEEKLY REST</p> <p>(EC REGULATIONS)</p>	<p>If weekly rest reduced by less than 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If weekly rest period reduced by more than 1 hour and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 1hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(6), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-40C.2	<p>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER FAILS TO TAKE REQUIRED WEEKLY REST</p> <p>(EC REGULATIONS)</p>	<p>If weekly rest reduced by less than 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If time was reduced by more than 1 hour and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 8(6), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVERS' HOURS – EC - DRIVING TIME					
4-35A	<p>DRIVER:-</p> <p>EXCEED 10 HOURS DRIVING</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-35A.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER EXCEEDS 10 HOURS DRIVING (EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If driving time has been exceeded by more than 15 minutes and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-36A	<p>DRIVER:-</p> <p>EXCEED 9 HOURS DRIVING</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-36A.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER EXCEEDS 9 HOURS DRIVING</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If driving time has been exceeded by more than 15 minutes and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-37C	<p>DRIVER:-</p> <p>EXCEED 56 HOURS WEEKLY DRIVING TIME</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 1 hour and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 1hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-37C.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER EXCEEDS 56 HOURS WEEKLY DRIVING TIME</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If driving time has been exceeded by more than 1 hour and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 6(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-37A	<p>DRIVER:-</p> <p>EXCEED 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 1 hours:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 1 hour and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 1hour - up to 3 hours</p> <p>Over 3 hour - up to 4 hours</p> <p>Over 4 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(3), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-37A.2	<p>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT:-</p> <p>USES VEHICLE WHEN DRIVER EXCEEDS 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</p> <p>(EC REGULATIONS)</p>	<p>If driving time has been exceeded by up to 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If driving time has been exceeded by more than 1 hour and offence was current <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 6(3), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVERS' HOURS – AETR - BREAKS / REST					
4-34B	<p>DRIVER:-</p> <p>EXCEED 4.5 HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</p> <p>(AETR)</p>	<p>If the time has been exceeded by less than 15 minutes:-</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis <p>If time has been exceeded by more than 15 minutes and offence is current - unless valid justification is given, ie genuine traffic congestion or emergency</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</u></p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 7(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-38B	<p>DRIVER:-</p> <p>INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</p> <p>(AETR)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If daily rest period reduced by more than 15 minutes and offence is current - unless a reasonable justification is given, ie finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR),, Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-39B	<p>DRIVER</p> <p>INSUFFICIENT REST IN 30 HOUR PERIOD (DOUBLE MANNED) (8 HOURS)</p> <p>(AETR)</p>	<p>If daily rest period reduced by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If daily rest period reduced by more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-40D	<p>DRIVER:-</p> <p>FAIL TO TAKE SUFFICIENT WEEKLY REST AFTER 6 DAILY DRIVING PERIODS OR AT THE END OF 6 DAYS</p> <p>(AETR)</p>	<p>If weekly rest reduced by less than 60 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If weekly rest period reduced by more than 1 hour and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 1hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 8(3), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVERS' HOURS – AETR - DRIVING TIME					
4-35B	<p>DRIVER:-</p> <p>EXCEED 10 HOURS DRIVING (AETR)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A) SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-36B	<p>DRIVER:-</p> <p>EXCEED 9 HOURS DRIVING</p> <p>(AETR)</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-37B	<p>DRIVER:-</p> <p>EXCEED 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</p> <p>(AETR)</p>	<p>If driving time has been exceeded by up to 1 hours:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 1 hour and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 1hour - up to 3 hours</p> <p>Over 3 hour - up to 4 hours</p> <p>Over 4 hours</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 6(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – FAIL TO PRODUCE / ALLOW TO INSPECT OR COPY / FAIL TO RETAIN CHARTS OR DATA					
4-3	FAIL TO PRODUCE TACHOGRAPH RECORD SHEET(S), DRIVER CARD OR PRINT-OUTS AT THE ROADSIDE – DRIVER	Where driver fails to produce:- - <u>All</u> records dating back to the last weekly rest period of at least 45 hours length or evidence of not working or not undertaking driving duties Otherwise than above as follows:- - Where failure to produce records / card is due to lack of knowledge or inadvertent mistake - Where it is suspected that the failure to produce records/card is an attempt to hide offences *Only 1 fixed penalty notice is to be issued irrespective of the number of records which have not been produced (non production of the driver card counts as one offence).	TE160DH £200 FP* VW FUE	GV170 £200 FP* VW VW	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(1) & (2)(a) & 99ZD(1)(a) & (2), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-4 4-4.1 4-4.2	USE VEHICLE WHEN DRIVER FAILED TO PRODUCE TACHOGRAPH RECORD SHEET(S), DRIVER CARD OR PRINT-OUTS AT THE ROADSIDE - OPERATOR CAUSE PERMIT	In special circumstances i.e. first day of driving due to absence / holiday / other duties Where failure to produce records / card is due to lack of knowledge or inadvertent mistake Where it is suspected that the failure to produce records/card is an attempt to hide offences	AL FUE FUE	N/A N/A N/A	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO - 5
4-14C	FAIL TO PRODUCE AND PERMIT TO INSPECT DRIVER CARD WHEN REQUESTED AT THE ROADSIDE - DRIVER		TE160DH £200 FP	GV170 £200 FP	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(2)(a) & 99ZD(1)(a) & (2), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-14A	FAIL TO PRODUCE AND PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY BOOK, REGISTER OR OTHER DOCUMENT WHEN REQUESTED AT THE ROADSIDE - DRIVER - OPERATOR	 Where failure to produce records / card is due to lack of knowledge or inadvertent mistake Otherwise than above Where failure to produce records / card is due to lack of knowledge or inadvertent mistake Otherwise than above	 VW TE160DH £200 FP AL FUE	 VW GV170 £200 FP N/A N/A	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(1)(c), & 99ZD(1)(a) & (2), SO-5
4-14B	ONCE PRODUCED FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN OR COPY ANY RECORD SHEET, DRIVER CARD DATA OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED AT THE ROADSIDE - DRIVER	If genuine reason is given and hard copy is produced later during check. Otherwise than above	 VW TE160DH £200 FP	 VW GV170 £200 FP	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(1)(b) & (2)(b) & 99ZD(1)(a) & (2), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-7	FAIL TO PRODUCE AND PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY TACHOGRAPH RECORD SHEET, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED AT OPERATOR'S PREMISES.	If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale Otherwise than above	VW FUE	N/A N/A	Article 14(2), Council Regulation EEC 3821/85, Transport Act 1968, Sections 99ZA(1) & 99ZD(1)(a) & (2), SO-5
4-8	FAIL TO PRODUCE ANY TACHOGRAPH RECORD SHEET, DRIVER CARD, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED BY MEANS OF WRITTEN REQUEST - OPERATOR	If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale Otherwise than above	VW FUE	N/A N/A	Article 14(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(3) & 99ZD(1)(a) & (2), SO-5
4-9	FAIL TO PRODUCE ANY DRIVER CARD WHEN REQUESTED BY MEANS OF WRITTEN REQUEST - DRIVER	If genuine reason is given and the card is produced within a reasonable timescale Otherwise than above	VW FUE	N/A N/A	Article 15(7), Council Regulation EEC 3821/85, Transport Act 1968, Section 99ZA(3) & 99ZD(1)(a) & (2), SO-5
4-17N	TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO DOWNLOAD DATA FROM THE VEHICLE UNIT OR DRIVER CARD	If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale Otherwise than above	NFA FUE	N/A N/A	Transport Act 1968 Section 97F(2)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-17P	TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO PERMIT HIM TO INSPECT OR COPY THE DOWNLOADED DATA		FUE	N/A	Transport Act 1968 Section 97F(2)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5
4-17Q	ANY PERSON WHO FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE READILY ACCESSIBLE TO AN EXAMINER, EITHER ON OR FROM PREMISES OCCUPIED OR CONTROLLED BY THAT PERSON IN QUESTION, THAT PERSON'S RETAINED DATA	<p>This means failure by the transport undertaking to provide data relating to any person, which has been retained by that transport undertaking</p> <p>Retained data means any data as required by Article 10 to be kept for at least 12 months from the date the data was created not downloaded</p>	FUE	N/A	Transport Act 1968 Section 97H(1)&(2) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – FAIL TO KEEP / USE / SWITCH CHARTS OR CARDS					
4-25	<p>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD</p> <p>- DRIVER</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £200 FP</p> <p>P</p> <p>VW</p>	<p>VW</p> <p>GV170 £200 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.1	<p>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD</p> <p>- OPERATOR (AS USER)</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25.2	CAUSE		FUE	N/A	
4-25.3	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.4	<p>FAIL TO AMEND RECORD SHEET OR DRIVER CARD AS NECESSARY – DOUBLE MANNED</p> <p>- DRIVER</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £120 FP</p> <p>P</p> <p>VW</p>	<p>VW</p> <p>GV170 £120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.4	<p>FAIL TO AMEND RECORD SHEET OR DRIVER CARD AS NECESSARY – DOUBLE MANNED</p> <p>- OPERATOR (AS USER)</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25.5	CAUSE		FUE	N/A	
4-25.6	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25B	<p>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED - DIGITAL</p> <p>- DRIVER</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £120 FP</p> <p>P</p> <p>VW</p>	<p>VW</p> <p>GV170 £120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25B	<p>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED - DIGITAL</p> <p>- OPERATOR (AS USER)</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25B.1	CAUSE		FUE	N/A	
4-25B.2	PERMIT		FUE	N/A	
4-17A	FAIL TO KEEP RECORD SHEETS AND / OR PRINTOUTS AT LEAST ONE YEAR	<p>Documents are required to be kept in chronological order and in a legible form.</p>	FUE	N/A	<p>Article 14(2), Council Regulation EEC 3821/85, Transport Act 1968, Sec 97(1)(a)(iii)</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – MANUAL ENTRIES / CENTREFIELD DETAILS / COUNTRY SYMBOL					
4-25.7	<p>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE</p> <p>- DRIVER</p>	<p>If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only)</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £120 FP</p> <p>P</p> <p>VW</p>	<p>N/A</p> <p>GV170 £120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2)(a), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.7	<p>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE</p> <p>- OPERATOR (AS USER)</p>	<p>If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only)</p> <p>Otherwise than above and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2)(a), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25.8	CAUSE		FUE	N/A	
4-25.9	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.10	<p>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH - DIGITAL</p> <p>- DRIVER</p>	<p>If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>Any 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £120 FP</p> <p>P</p> <p>VW</p>	<p>N/A</p> <p>GV170 £120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2)(b), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-25.10	<p>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH - DIGITAL</p> <p>- OPERATOR (AS USER)</p>	<p>If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p>Otherwise than above and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2)(b), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25.11	CAUSE		FUE	N/A	
4-25.12	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-26	<p>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD</p> <p>- DRIVER</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is current</p> <p>Where no suspicion of motive or If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p>*Only one fixed penalty notice to be issued where driver fails to make several entries on current record</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- Due to lack of knowledge or no suspicious motive</p> <p>- Where suspicion of motive to disguise more serious offences exists</p>	<p>TE160DH £30 FP*</p> <p>VW</p> <p>VW</p> <p>FUE</p>	<p>GV170 £30 FP*</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 15(5), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-26.1	<p>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD</p> <p>- OPERATOR (AS USER)</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was current</p> <p>No suspicion of motive or If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- Due to lack of knowledge or no suspicious motive</p> <p>- Where suspicion of motive to disguise more serious offences exists</p>	<p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(5), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-26.2	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
4-26.3	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-26A	<p>FAIL TO ENTER THE SYMBOLS OF THE COUNTRY WHERE DAILY WORK PERIOD STARTS OR ENDS – DIGITAL</p> <p>- DRIVER</p>	<p>If genuine error eg lack of knowledge</p> <p>Otherwise than above and offence is current</p> <p>Previous offences</p>	<p>VW</p> <p>TE160DH £30 FP</p> <p>VW</p>	<p>VW</p> <p>GV170 £30 FP</p> <p>VW</p>	<p>Article 15(5a), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
<p>4-26A.1</p> <p>4-26A.2</p> <p>4-26A.3</p>	<p>FAIL TO ENTER THE SYMBOLS OF THE COUNTRY WHERE DAILY WORK PERIOD STARTS OR ENDS – DIGITAL</p> <p>- OPERATOR (AS USER)</p> <p>CAUSE</p> <p>PERMIT</p>		<p>AL</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(5a), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – USE OF MODE SWITCH / MIS-ALIGNED STYLI / TACHOGRAPH TIME INCORRECT					
4-27	<p>INCORRECT USE OF MODE SWITCH</p> <p>- DRIVER</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is current</p> <p>No suspicion of motive or offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- Due to lack of knowledge or no suspicious motive</p> <p>- Where suspicion of motive to disguise more serious offences exists</p>	<p>£30 FP</p> <p>VW</p> <p>VW</p> <p>FUE</p>	<p>£30 FP</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-27	INCORRECT USE OF MODE SWITCH - OPERATOR (AS USER)	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was current</p> <p>No suspicion of motive or offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- Due to lack of knowledge or no suspicious motive</p> <p>- Where suspicion of motive to disguise more serious offences exists</p>	<p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-27.1	CAUSE		FUE	N/A	
4-27.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-28C 4-28C.1 4-28C.2	SPEED NOT PROPERLY RECORDED - STYLI MISS-ALIGNED WITH BASE LINE CAUSE PERMIT	If bent styli disguises speeds above those permitted: - If speed limiter defective, interfered with or not restricting vehicle to legal maximum - If speed limiter functioning correctly	Go to Section 1 AL FUE FUE	Go to Section 1 N/A N/A N/A	Annex 1 & II of Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5
4-24	FAIL TO ENSURE THAT THE TIME RECORDED ON THE CHART AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION - DRIVER	In isolated cases - providing that it has been established that no other motive exists. Otherwise than above and offence is current <u>PREVIOUS OFFENCES</u> - When due to lack of knowledge - Where there is suspected motive to disguise other offences	VW £30 FP VW FUE	VW £30 FP VW VW	Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-24.1	<p>FAIL TO ENSURE THAT THE TIME RECORDED ON THE DRIVERS CHART AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION</p> <p>- OPERATOR</p>	<p>In isolated cases - providing that it has been established that no other motive exists.</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- When due to lack of knowledge</p> <p>- Where there is suspected motive to disguise other offences</p>	<p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-24.2	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
4-24.3	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – UNAUTHORISED WITHRAWAL / USE TOO LONG / DIRTY OR DAMAMGED					
4-29	<p>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH CHART - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE</p> <p>- DRIVER</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is current</p>	£120 FP	£120 FP	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
		<p>Where no suspicion of motive or If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p>	VW	VW	
		<p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p>	P	VW	
		<p>- Otherwise than above</p>	VW	VW	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-29	<p>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH CHART - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE</p> <p>- OPERATOR (AS USER)</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is current</p> <p>Where no suspicion of motive or If genuine lack of knowledge eg new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>FUE</p> <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-29.1	CAUSE		FUE	N/A	
4-29.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-29A	<p>USE A TACHOGRAPH CHART OR DRIVER CARD FOR LONGER THAN INTENDED</p> <p>- DRIVER</p>	<p>If traces are legible and no other offences found</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>VW</p> <p>£30 FP</p> <p>P</p> <p>VW</p>	<p>VW</p> <p>£30 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-29A	<p>USE A TACHOGRAPH CHART OR DRIVER CARD FOR LONGER THAN INTENDED</p> <p>- OPERATOR</p>	<p>If traces are legible and no other offences found</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-29A.1	CAUSE		FUE	N/A	
4-29A.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-30	<p>USE DIRTY, DAMAGED DEFACED CHARTS OR DRIVER CARD</p> <p>- DRIVER</p>	<p>Unreadable in part but not affecting analysis of record or card</p> <p>Unreadable and affecting analysis of record or card or making card unreadable and offence is current</p> <p><u>PREVIOUS OFFENCES</u></p> <p>- Unreadable in part but not affecting analysis of record or card</p> <p>- Unreadable and affecting analysis of record or card or making card unreadable</p>	<p>VW</p> <p>TE160DH £30 FP</p> <p>VW</p> <p>FUE</p>	<p>VW</p> <p>GV170 £30 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(1), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG	
4-30	USE DIRTY, DAMAGED DEFACED CHARTS OR DRIVER CARD - OPERATOR (AS USER)	Unreadable in part but not affecting analysis of record or card Unreadable and affecting analysis of record or card or making card unreadable and offence is current <u>PREVIOUS OFFENCES</u> - Unreadable in part but not affecting analysis of record or card - Unreadable and affecting analysis of record or card or making card unreadable	AL FUE AL FUE	N/A N/A N/A N/A	Article 15(1), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5	
4-30.1	CAUSE		FUE	N/A		
4-30.2	PERMIT		FUE	N/A		
RECORDS SHEETS – WRONG / INCOMPATIBLE / INSUFFICIENT CHARTS						
4-28	EMPLOYER ISSUED WRONG CHART	If traces can be analysed If traces cannot be analysed	AL FUE	VW VW		Article 14(1), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii) SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-28A	EMPLOYER FAILED TO ISSUE SUFFICIENT CHARTS	If rectified on site Otherwise than above	VW AL	VW VW	Article 14(1) Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5
4-28A.1	CAUSE		FUE	N/A	
4-28A.2	PERMIT		FUE	N/A	
4-28B	USE AN INCOMPATIBLE CHART				Article 14(1) Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5
	- DRIVER	If traces can be analysed If traces cannot be analysed	VW FUE	VW VW	
	- OPERATOR	If traces can be analysed If traces cannot be analysed	AL FUE	N/A N/A	
4-28B.1	CAUSE		FUE	N/A	
4-28B.2	PERMIT		FUE	N/A	
RECORDS SHEETS – FALSIFIED RECORDS					
4-18	FALSE INSTRUMENT				Forgery and Counterfeiting Act 1981, Section 3 SO-Stat Max or 6 months prison, or both IND-10 years Max prison
	- DRIVER		TE160DH P	GV170 P	
	- OPERATOR		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-18.1	AID & ABET FALSE INSTRUMENT - DRIVER - OPERATOR		P FUE	P N/A	Magistrates Courts Act 1980 IND-10 years Max prison
4-31 4-31.1 4-31.1A	KNOWINGLY MAKES A FALSE RECORD OR ENTRY - DRIVER - OPERATOR CAUSE PERMIT	This offence relates to any record or entry required to be made or for the purposes of the Community recording Equipment Regulations or section 97 of the Transport Act 1968	TE160DH P FUE FUE FUE	GV170 P N/A N/A N/A	Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (5), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33F 4-33F.1 4-33F.2	<p>RECORDS DATA WHICH KNOWS TO BE FALSE ON RECORDING EQUIPMENT OR A DRIVER CARD</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>		<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(3)(a) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(3)(a) and (5), SO-5</p>
4-33G 4-33G.1 4-33G.2	<p>RECORDS DATA WHICH HE/SHE KNOWS TO BE FALSE ON ANY HARD COPY OF DATA</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	<p>This relates to any data previously stored on recording equipment or on a driver card</p>	<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(3)(b) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(3)(b) and (5), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
<p>4-32</p> <p>4-32.1</p> <p>4-32.2</p>	<p>KNOWINGLY MAKES A FALSE ENTRY IN A BOOK, REGISTER OR DOCUMENT- EC REGS</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	<p>This offence relates to any entry in a book, register or document kept or carried for the purposes of the Community Rules. (This offence will include duty rosters re. Regular Services)</p>	<p>TE160DH P FUE FUE FUE</p>	<p>GV170 P N/A N/A N/A</p>	<p>Transport Act 1968, Section 99ZE(1)(a), (2)(a)&(b) and (4) a) SO-a sum not exceeding the statutory max b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(1)(a), (2)(a)&(b) and (5), SO-5</p>
<p>4-31.2</p>	<p>AID & ABET MAKING OF FALSE RECORD</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p>P FUE</p>	<p>P N/A</p>	<p>Section 44 of Magistrates Courts Act 1980 IND-10 years Max prison</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33 4-33.1 4-33.2	WITH INTENT TO DECEIVE ALTERS A RELEVANT RECORD OR ENTRY - DRIVER - OPERATOR CAUSE PERMIT	This relates to any record or entry required to be made for the purposes of the Community Recording Equipment Regulation or Section 97 of the Transport Act 1968	TE160DH P FUE FUE FUE	GV170 P N/A N/A N/A	Section 99ZE(1)(b), (2)(a) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(1)(b), (2)(a) and (5), SO-5
4-33A 4-33A.1 4-33A.2	WITH INTENT TO DECEIVE ALTERS A RELEVANT RECORD OR ENTRY IN A BOOK, REGISTER OR DOCUMENT - DRIVER - OPERATOR CAUSE PERMIT	This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.	TE160DH P FUE FUE FUE	GV170 P N/A N/A N/A	Transport Act 1968, Section 99ZE(1)(b), (2)(b) & (4) a) SO-a sum not exceeding the statutory max b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(1)(b), (2)(b) and (5), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33H 4-33H.1 4-33H.2	<p>WITH INTENT TO DECEIVE ALTERS ANY DATA STORED ON RECORDING EQUIPMENT OR DRIVER CARD OR APPEARING ON ANY COPY OF DATA PREVIOUSLY SO STORED</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	This offence includes any data appearing on any copy of data previously so stored.	<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(3)(c) & (4)</p> <p>a) SO-a sum not exceeding the statutory max.</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(3)(c) and (5), SO-5</p>
4-33B 4-33B.1 4-33B.2	<p>DESTROYS OR SUPPRESSES A RELEVANT RECORD OR ENTRY</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	This relates to any record or entry required to be made for the purposes of the Community Recording Equipment Regulation or Section 97 of the Transport Act 1968	<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(1)(c), (2)(a) & (4)</p> <p>a) SO-a sum not exceeding the statutory max</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(1)(c), (2)(a) and (5), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33C 4-33C.1 4-33C.2	<p>DESTROYS OR SUPPRESSES A RELEVANT RECORD OR ENTRY IN A BOOK, REGISTER OR DOCUMENT</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	<p>This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.</p>	<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(1)(c), (2)(b) & (4)</p> <p>a) SO-a sum not exceeding the statutory max</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(1)(c), (2)(b) and (5), SO-5</p>
4-33K 4-33K.1 4-33K.2	<p>DESTROYS OR SUPPRESSES ANY DATA STORED ON RECORDING EQUIPMENT OR ON DRIVER CARD</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p> <p>PERMIT</p>	<p>This offence applies to any data stored in compliance with the Community rules on recording equipment or on a driver card.</p>	<p>TE160DH P</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>GV170 P</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Transport Act 1968, Section 99ZE(3)(e) & (4)</p> <p>a) SO-a sum not exceeding the statutory max.</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit:- Transport Act 1968, Section 99ZE(3)(e) and (5), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33D 4-33D.1 4-33D.2	FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT RECORD OR ENTRY ON A RECORD CAUSE PERMIT	This relates to any omission in a record or failure to make an entry on a record required to be made for the purposes of the Community Recording Equipment Regulation or Section 97 of the Transport Act 1968	TE160DH P FUE FUE	GV170 P N/A N/A	Transport Act 1968, Section 99ZE(1)(d), (2)(a) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(1)(d), (2)(a) and (5), SO-5
4-33E 4-33E.1 4-33E.2	FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT RECORD OR ENTRY IN A BOOK, REGISTER OR DOCUMENT CAUSE PERMIT	This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules	TE160DH P FUE FUE	GV170 P N/A N/A	Transport Act 1968, Section 99ZE(1)(d), (2)(b) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(1)(d), (2)(b) and (5), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33L	FAILS, WITHOUT REASONABLE EXCUSE, TO RECORD ANY DATA ON RECORDING EQUIPMENT OR ON A DRIVER CARD	- If it cannot be determined that driver has taken a 45 hour weekly rest period in the preceding fortnight or a daily rest period in the previous 24 hours - Otherwise than above	TE160DH P	GV170 P	Transport Act 1968, Section 99ZE(3)(f) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(3)(f) and (5), SO-5
4-33L.1	CAUSE		FUE	N/A	
4-33L.2	PERMIT		FUE	N/A	
4-33J	WITH INTENT TO DECEIVE PRODUCES ANYTHING FALSELY PURPORTING TO BE A HARD COPY OF DATA	This offence relates to any hard copy of data stored on recording equipment or driver card.	TE160DH P	GV170 P	Transport Act 1968, Section 99ZE(3)(d) & (4) a) SO-a sum not exceeding the statutory max. b) IND-Prison 2yrs or fine or both Permit:- Transport Act 1968, Section 99ZE(3)(d) and (5), SO-5
- DRIVER			FUE	N/A	
- OPERATOR			FUE	N/A	
4-33J.1	CAUSE		FUE	N/A	
4-33J.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS SHEETS – FAIL TO RETURN / ENSURE RETURN OF RECORDS					
4-16B	DRIVER FAILS TO RETURN RECORD SHEETS/MANUAL RECORD/PRINTOUT TO THE TRANSPORT UNDERTAKING WITHIN 42 DAYS	If exceptional circumstances prevailed and/or the offence is unlikely to be repeated Otherwise than above	VW FUE	VW N/A	Transport Act 1968, Section 97C(1)(a), (b), (2) & (4) SO-4
4-17B	TRANSPORT UNDERTAKING FAILS TO SECURE RETURN OF RECORD SHEETS / MANUAL RECORD / PRINTOUT WITHIN 42 DAYS	If exceptional circumstances prevailed and/or the offence is unlikely to be repeated Otherwise than above	VW FUE	N/A N/A	Transport Act 1968, Section 97C(1)(a) (b), (2) & (5) SO-4

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DIGITAL – FAIL TO PRINT / SIGN PRINT					
4-33S	<p>FAIL, AT THE START OF JOURNEY, TO PRINT OUT DETAILS OF VEHICLE AND TO ENTER ON THE PRINTOUT THE REQUIRED DETAILS</p> <p>- DRIVER</p>	<p>If offence is current</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>£120 FP</p> <p>P</p> <p>VW</p>	<p>£120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(1)(a)(i) & (ii) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii) SO-5</p>
4-33S	<p>FAIL, AT THE START OF JOURNEY, TO PRINT OUT DETAILS OF VEHICLE AND TO ENTER ON THE PRINTOUT THE REQUIRED DETAILS</p> <p>-OPERATOR (AS USER)</p>	<p>If offence was current</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>FUE</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(1)(a)(i) & (ii) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii) SO-5</p>
4-33S.1	CAUSE		FUE	N/A	
4-33S.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33T	<p>FAIL, AT THE END OF THE JOURNEY, TO PRINT OUT THE PERIODS OF TIME RECORDED BY THE TACHOGRAPH AND RECORD THE REQUIRED INFORMATION AND MARK THE DETAILS REQUIRED</p> <p>- DRIVER</p>	<p>If offence is current</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>£120 FP</p> <p>P</p> <p>VW</p>	<p>£120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(1)(b) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii), SO-5</p>
<p>4-33T</p> <p>4-33T.1</p> <p>4-33T.2</p>	<p>FAIL, AT THE END OF THE JOURNEY, TO PRINT OUT THE PERIODS OF TIME RECORDED BY THE TACHOGRAPH AND RECORD THE REQUIRED INFORMATION AND MARK THE DETAILS REQUIRED</p> <p>- OPERATOR (AS USER)</p> <p>CAUSE</p> <p>PERMIT</p>	<p>If offence was current</p> <p>PREVIOUS OFFENCES:-</p> <p>More than 3 offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>FUE</p> <p>FUE</p> <p>AL</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(1)(b) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-28D	<p>FAIL TO ENSURE PRINTING CAN BE CARRIED OUT ON REQUEST-DIGITAL</p> <p>- DRIVER</p>	<p>Paper is not being carried.</p> <p>Printing facility not functioning but data still being recorded to VU and card</p> <p>Printing facility functions but data not being recorded to either VU or card</p>	<p>£60 FP</p> <p>£120 FP</p> <p>Go to offence 4-21</p>	<p>£60 FP</p> <p>£120 FP</p> <p>Go to offence 4-21</p>	<p>Article 14(1) Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-28D.1 4-28D.2 4-28D.3	<p>FAIL TO ENSURE PRINTING CAN BE CARRIED OUT ON REQUEST-DIGITAL</p> <p>- OPERATOR (AS USER)</p> <p>CAUSE</p> <p>PERMIT</p>	<p>Paper is not being carried.</p> <p>Printing facility not functioning but data still being recorded to VU and card</p> <p>Printing facility functions but data not being recorded to either VU or card</p>	<p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>FUE</p> <p>N/A</p> <p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33M	FAIL TO COMPLY WITH AN OFFICER'S REQUIREMENT TO SIGN HARD COPY OF DATA.	This offence applies where an officer makes any hard copy of data stored on a driver card or on recording equipment under the powers of Inspection in Section 99ZA or 99ZB of the 1968 Act (see note 4-65)	VW	VW	Transport Act 1968, Section 99ZC(1) & 99ZD(1)(a) SO-5
DIGITAL – USE OF CARDS					
4-33N	IN POSSESSION OF MORE THAN ONE DRIVER CARD ON WHICH HE IS IDENTIFIED AS THE HOLDER		£200 FP	£200 FP	Regulation 3(1)(a) and (4) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006 and section 2(2) of the European Communities Act 1972 [re.Article 14(4)(a) Council Regulation EEC 3821/85], SO-5
4-33P	USES, OR ATTEMPTS TO USE, A DRIVER CARD ON WHICH HE IS NOT IDENTIFIED AS THE HOLDER	<p>If offence is current</p> <p>PREVIOUS OFFENCES:-</p> <p><i>Any 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</i></p> <p>Otherwise than above</p>	<p>TE160DH £200 FP</p> <p>P</p> <p>VW</p>	<p>GV170 £200 FP</p> <p>VW</p> <p>VW</p>	<p>Regulation 3(1)(b) and (4) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006 and section 2(2) of the European Communities Act 1972 [re.Article 14(4)(a) Council Regulation EEC 3821/85], SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33Q	USE A DEFECTIVE DRIVER CARD - DRIVER	If offence is current <u>PREVIOUS OFFENCES:-</u> Any 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence Otherwise than above	TE160DH £60 FP P VW	GV170 £60 FP VW VW	Article 14(4)(a) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii) SO-5
4-33Q 4-33Q.1 4-33Q.2	USE A DEFECTIVE DRIVER CARD - OPERATOR (AS USER) CAUSE PERMIT	If offence was current <u>PREVIOUS OFFENCES:-</u> Any 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence Otherwise than above	FUE FUE AL FUE FUE	N/A N/A N/A N/A N/A	Article 14(4)(a) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii) SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-33QA	USE AN EXPIRED DRIVER CARD - DRIVER - OPERATOR (AS USER)		£60 FP	£60 FP	Article 14(4)(a) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii), SO-5
4-33QA.1	CAUSE		FUE	N/A	
4-33QA.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-41	<p>FAIL TO ENSURE CORRECT FUNCTIONING OF DRIVER CARD</p> <p>- DRIVER</p>	<p>If wilful and offence is current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>TE160DH £60 FP</p> <p>VW</p> <p>P</p> <p>VW</p>	<p>GV170 £60 FP</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-41.1	<p>FAIL TO ENSURE CORRECT FUNCTIONING OF DRIVER CARD</p> <p>- OPERATOR (AS USER)</p>	<p>If wilful and offence was current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>FUE</p> <p>AL</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-41.2	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
4-41.3	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-42	<p>FAIL TO ENSURE PROPER USE OF DRIVER CARD</p> <p>- DRIVER</p>	<p>If wilful and offence is current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>TE160DH £120 FP</p> <p>VW</p> <p>P</p> <p>VW</p>	<p>GV170 £120 FP</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-42.1	<p>FAIL TO ENSURE PROPER USE OF DRIVER CARD</p> <p>- OPERATOR</p>	<p>If wilful and offence was current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>FUE</p> <p>AL</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-42.2	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
4-42.3	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-43	<p>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED - DIGITAL</p> <p>- DRIVER</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is current</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £120 FP</p> <p>P</p> <p>VW</p>	<p>VW</p> <p>GV170 £120 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25B.b	<p>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED - DIGITAL</p> <p>- OPERATOR (AS USER)</p>	<p>Exceptional circumstances eg: driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was current</p> <p>PREVIOUS OFFENCES:-</p> <p>- <u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>- Otherwise than above</p>	<p>AL</p> <p>FUE</p> <p>FUE</p> <p>AL</p> <p>FUE</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 15(2), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-25B.1	CAUSE		FUE	N/A	
4-25B.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-21	<p>USE A VEHICLE WITH A DEFECTIVE TACHOGRAPH OR DRIVER CARD</p> <p>- DRIVER</p>	<p>- If defective during roadside inspection</p> <p>- Records show equipment / card was previously defective but found to have been repaired or working properly during roadside inspection</p>	<p>TE160DH £60 FP</p> <p>FUE</p>	<p>GV170 £60 FP</p> <p>N/A</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-21.1	- OPERATOR	<p>- If defective during roadside inspection</p>	FUE	N/A	
		<p>- Records show equipment/card was previously defective but found to have been repaired or working properly during roadside inspection</p>	FUE	N/A	
4-21.2	CAUSE		FUE	N/A	
4-21.3	PERMIT		FUE	N/A	
4-33R	<p>FAIL TO APPLY FOR A REPLACEMENT DRIVER CARD WITHIN 7 DAYS - DRIVER</p>	<p>This is applicable when driver card is damaged, malfunctions or is lost or stolen.</p>	£30 FP	£30 FP	<p>Article 15(1) Council Regulation EEC 3821/85, Transport Act 1968 Section 97(1)(a)(iii) SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DIGITAL – DOWNLOADING OF VU / CARD					
4-17C.1	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO:-</p> <p>DATA WHICH HAS NOT PREVIOUSLY DOWNLOADED DATA FROM THE UNIT</p>	<p>The download period starts with the first day on which the undertaking controlled the use of the vehicle and ends 56 calendar days later or on the day it is downloaded if this falls before the 56th day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(2)(a)(b)&(3)(1), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17C.2	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO:-</p> <p>USE OF THE VEHICLE IN THE 56 CALENDAR DAYS AFTER THE LAST DOWNLOAD</p>	<p>The download period begins on the day after the last download and ends 56 calendar days later or on the day it is downloaded if this falls before the 56th day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(2)(a)(b)&(3)(2), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-17C.3	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO:-</p> <p>VEHICLES NOT USED IN THE 56 CALENDAR DAYS FOLLOWING THE LAST DOWNLOAD</p>	<p>The downloading period begins on the first day of the undertaking's use of the vehicle after the last download and ends 56 calendar days later or on the day it is downloaded if this falls before the 56th day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(2)(a)(b)&(3)(3), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17D	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU IMMEDIATELY BEFORE TRANSFERRING CONTROL OF THE USE OF THE VEHICLE TO ANOTHER PERSON</p>	<p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(4)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17E	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON PERMANENTLY REMOVING THE UNIT FROM SERVICE IN THE VEHICLE</p>	<p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(4)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-17F	TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON BECOMING AWARE THAT THE UNIT IS MALFUNCTIONING	<p>Does not apply when, because of the malfunctioning of the unit, it is impossible to download the data in which case a Certificate of Undownloadability must be produced to show downloading was not possible.</p> <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97D(4)(c), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17G	TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMINENT ERASURE OF THE DATA BY THE UNIT, IN THE NORMAL COURSE OF ITS OPERATIONS, IS REASONABLY FORESEEABLE	<p>This means the Transport undertaking has failed to download data from a VU where use of the vehicle has caused old data to be overwritten by newer data.</p>	<p>FUE</p>	<p>N/A</p>	<p>Transport Act 1968 Section 97D (4)(d), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17H.1	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO:-</p> <p>A CARD THAT HAS NOT PREVIOUSLY BEEN DOWNLOADED</p>	<p>The downloading period begins on the first day on which the driver drives for the undertaking and ends 28 calendar days later or on the day it is downloaded if this falls before the 28th day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97E(2)& (3)(1), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-17H.2	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO:-</p> <p>A CARD THAT HAS PREVIOUSLY BEEN DOWNLOADED</p>	<p>The downloading period begins on the first day on which the driver drives for the undertaking after the last download of the card and ends 28 calendar days later or on the day it is downloaded if this falls before the 28th day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97E(2)& (3)(2), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17J	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD BEFORE THE DRIVER CEASES TO BE EMPLOYED BY THE UNDERTAKING AS A DRIVER, OR OTHERWISE TO CARRY OUT WORK FOR THE UNDERTAKING AS A DRIVER</p>	<p>Driver does not need to cease employment with operator merely that he has ceased to be a driver</p> <p>If driver still in operator employment and data is subsequently downloaded</p> <p>Operator unable to gain access to data</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97E(4)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>
4-17K	<p>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY UPON BECOMING AWARE THAT THE CARD HAS BEEN DAMAGED OR IS MALFUNCTIONING</p>	<p>Does not apply when, because of the damage to the card or its malfunctioning, it is impossible to download the data</p> <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>	<p>NFA</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	<p>Transport Act 1968 Section 97E(4)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-17L	TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMEDIATE ERASURE OF THE DATA, IN THE NORMAL COURSE OF USE OF THE CARD, IS REASONABLY FORESEEABLE.	Does not apply when, because of the malfunctioning of the card, it is impossible to download the data Otherwise than above	FUE	N/A	Transport Act 1968 Section 97E(4)(c), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5
4-17M	TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD IF IT IS NOT POSSIBLE TO DO SO OTHER THAN BY MEANS OF A VEHICLE UNIT INSTALLED IN A VEHICLE, IMMEDIATELY BEFORE CEASING TO CONTROL THE USE OF THAT VEHICLE	If genuine reason is given and the missing data is subsequently recovered Otherwise than as above	NFA FUE	N/A N/A	Transport Act 1968 Section 97E(4)(d), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5
4-17N	TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO DOWNLOAD DATA FROM THE VEHICLE UNIT OR DRIVER CARD	If genuine reason is given and the requested item(s) is/are produced within reasonable timescale Otherwise than above	NFA FUE	N/A N/A	Transport Act 1968 Section 97F(2)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
TACHOGRAPH – USE OF / FUNCTIONALITY					
4-43	FAIL TO ENSURE CORRECT FUNCTIONING OF TACHOGRAPH - DRIVER	If wilful and offence is current If caused by lack of knowledge <u>PREVIOUS OFFENCES:-</u> <u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence Otherwise than above	TE160DH £60 FP VW P VW	GV170 £60 FP VW VW VW	Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5
4-43.1	FAIL TO ENSURE CORRECT FUNCTIONING OF TACHOGRAPH - OPERATOR (AS USER)	If wilful and offence was current If caused by lack of knowledge <u>PREVIOUS OFFENCES:-</u> <u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence Otherwise than above	FUE AL FUE AL	N/A N/A N/A N/A	Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5
4-43.2	CAUSE		FUE	N/A	
4-43.3	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-46	<p>FAIL TO ENSURE PROPER USE OF TACHOGRAPH</p> <p>- DRIVER</p>	<p>If wilful and offence is current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>TE160DH £120 FP</p> <p>VW</p> <p>P</p> <p>VW</p>	<p>GV170 £120 FP</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-46.1	<p>FAIL TO ENSURE PROPER USE OF TACHOGRAPH</p> <p>- OPERATOR (AS USER)</p>	<p>If wilful and offence was current</p> <p>If caused by lack of knowledge</p> <p>PREVIOUS OFFENCES:-</p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>FUE</p> <p>AL</p> <p>FUE</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii)SO5</p>
4-46.2	<p>CAUSE</p>		<p>FUE</p>	<p>N/A</p>	
4-46.3	<p>PERMIT</p>		<p>FUE</p>	<p>N/A</p>	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-27	<p>INCORRECT USE OF MODE SWITCH</p> <p>- DRIVER</p>	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is current</p> <p>No suspicion of motive or offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is current</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p>- Due to lack of knowledge or no suspicious motive</p> <p>- Where suspicion of motive to disguise more serious offences exists</p>	<p>£30 FP</p> <p>VW</p> <p>VW</p> <p>FUE</p>	<p>£30 FP</p> <p>VW</p> <p>VW</p> <p>VW</p>	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-27	INCORRECT USE OF MODE SWITCH - OPERATOR (AS USER)	<p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was current</p> <p>No suspicion of motive or offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was current</p> <p><u>PREVIOUS OFFENCES:-</u></p> <ul style="list-style-type: none"> - Due to lack of knowledge or no suspicious motive - Where suspicion of motive to disguise more serious offences exists 	FUE	N/A	Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5
			AL	N/A	
			AL	N/A	
			FUE	N/A	
4-27.1	CAUSE		FUE	N/A	
4-27.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-28C 4-28C.1 4-28C.2	<p>SPEED NOT PROPERLY RECORDED - STYLI MISS-ALIGNED WITH BASE LINE</p> <p>CAUSE</p> <p>PERMIT</p>	<p>If bent styli disguises speeds above those permitted:</p> <ul style="list-style-type: none"> - If speed limiter not fitted, defective, interfered with or not restricting vehicle to legal maximum - If speed limiter functioning correctly 	<p>Go to Section 1</p> <p>AL</p> <p>FUE</p> <p>FUE</p>	<p>Go to Section 1</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Annex 1 & II of Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
4-24	<p>FAIL TO ENSURE THAT THE TIME RECORDED ON THE CHART AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION</p> <p>- DRIVER</p>	<p>In isolated cases - providing that it has been established that no other motive exists.</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES:-</u></p> <ul style="list-style-type: none"> - When due to lack of knowledge - Where there is suspected motive to disguise other offences 	<p>VW</p> <p>£30 FP</p> <p>VW</p> <p>FUE</p>	<p>VW</p> <p>£30 FP</p> <p>VW</p> <p>VW</p>	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-24.1	<p>FAIL TO ENSURE THAT THE TIME RECORDED ON THE DRIVERS CHART AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION</p> <p>- OPERATOR</p>	<p>In isolated cases - providing that it has been established that no other motive exists.</p> <p>Otherwise than above and offence is current</p> <p><u>PREVIOUS OFFENCES:-</u></p> <ul style="list-style-type: none"> - When due to lack of knowledge - Where there is suspected motive to disguise other offences 	AL	N/A	<p>Article 15(3), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p>
			FUE	N/A	
			AL	N/A	
			FUE	N/A	
4-24.2	CAUSE		FUE	N/A	
4-24.3	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
TACHOGRAPH – CALIBRATION / 2 YEARLY CHECKS					
4-1	TACHOGRAPH NOT CALIBRATED - ANALOGUE	If operator then fail to comply with the ORN requirements	ORN FUE	VW N/A	Annex I(VI) 3(b), Council Regulation EEC 3821/85. Transport Act 1968, Section 97(1)(a)(ii), SO-5
4-1.1	CAUSE		FUE	N/A	
4-1.2	PERMIT		FUE	N/A	
4-1A	TACHOGRAPH NOT CALIBRATED FOLLOWING INSTALLATION AS REQUIRED - DIGITAL	If operator then fail to comply with the ORN requirements	ORN FUE	VW N/A	Annex IB(V) (1), Council Regulation EEC 3821/85 (as per EC 1360/2002), Transport Act 1968, Section 97(1)(a)(ii), SO-5
4-1A.1	CAUSE		FUE	N/A	
4-1A.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-1B	TACHOGRAPH NOT CALIBRATED AS REQUIRED - DIGITAL	If operator then fail to comply with the ORN requirements	ORN FUE	VW N/A	Annex IB(VI) (4), Council Regulation EEC 3821/85 (as per EC 1360/2002), Transport Act 1968, Section 97(1)(a)(ii), SO-5
4-1B.1	CAUSE		FUE	N/A	
4-1B.2	PERMIT		FUE	N/A	
4-2	TACHOGRAPH NOT 2 YEARLY CHECKED - ANALOGUE	If operator then fail to comply with the ORN requirements	ORN FUE	VW N/A	Annex I(VI) 3(a), Council Regulation EEC 3821/85 & Section 97(1)(a)(ii) of The Transport Act 1968, SO-5
4-2.1	CAUSE		FUE	N/A	
4-2.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-20B	ANALOGUE TACHOGRAPH INCORRECTLY CALIBRATED - INSTRUMENTED CHECK	Tachograph 'K' factor incorrect, resulting in speed difference of 6km/h or less. If no evidence of tampering. If obvious evidence of tampering (seal damage etc) Tachograph 'K' factor incorrect, resulting in speed difference of more than 6km/h	NFA TE160DH FUE TE160DH £30 FP	NFA GV170 GV170 £30 FP	Annex I, Part III, para (f) 3 Council Regulation EEC 3821/85 Transport Act 1968, Section 97(1)(a)(i), SO-5
4-20B.1	CAUSE		FUE	N/A	
4-20B.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
TACHOGRAPH – DEFECTIVE / INCORRECT REPAIR / UNSEALED / NOT FITTED					
4-21	USE A VEHICLE WITH A DEFECTIVE TACHOGRAPH OR DRIVER CARD - DRIVER	- If defective during roadside inspection - Records show equipment / card was previously defective but found to have been repaired or working properly during roadside inspection	TE160DH £60 FP FUE	GV170 £60 FP N/A	Article 13, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii), SO-5
4-21.1	- OPERATOR	- If defective during roadside inspection - Records show equipment/card was previously defective but found to have been repaired or working properly during roadside inspection	FUE FUE	N/A N/A	
4-21.2	CAUSE		FUE	N/A	
4-21.3	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-20	TACHOGRAPH NOT PROPERLY FITTED - DRIVER	Not fully meeting requirements of Annexes 1, 1B & 2 of 3821/85 but journey is exempt as per Article 3 of 561/2006 or is exempt by way of a derogation as per Article 13(1) of 561/2006 Otherwise than above	NFA TE160DH £200 FP	NFA GV170 £200 FP	Article 3(1), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(i) SO-5
4-20.1	- OPERATOR		FUE	N/A	
4-20.2	CAUSE		FUE	N/A	
4-20.3	PERMIT		FUE	N/A	
4-22	TACHOGRAPH REPAIRED OTHERWISE THAN IN ACCORDANCE WITH COMMUNITY RECORDING REGULATIONS - ANALOGUE		TE160DH £30 FP	GV170	Article 12 & Annex 1, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(b), SO-5
4-22.1	CAUSE		FUE	N/A	
4-22.2	PERMIT		FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-23 4-23.1 4-23.2	TACHOGRAPH SYSTEM NOT SEALED - ANALOGUE - DRIVER - OPERATOR CAUSE PERMIT		TE160DH £30 FP FUE FUE FUE	GV170 £30 FP N/A N/A N/A	Article 12 & Annex 1, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(ii), SO-5
4-23A 4-23A.1 4-23A.2	TACHOGRAPH SYSTEM NOT SEALED - DIGITAL - DRIVER - OPERATOR CAUSE PERMIT		TE160DH £30 FP FUE FUE FUE	GV170 £30 FP N/A N/A N/A	Article 12 & Annex 1B, Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(ii), SO-5

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-19	TACHOGRAPH NOT FITTED - DRIVER	If tachograph not fitted but vehicle is exempt as per Article 3 of 561/2006 or is exempt by way of a derogation as per Article 13(1) of 561/2006 Otherwise than above	NFA	NFA	Article 3(1), Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(i) SO-5
4-19.1	- OPERATOR		TE160DH £200 FP	GV170 £200 FP	
4-19.2	CAUSE		FUE	N/A	
4-19.3	PERMIT		FUE	N/A	
TACHOGRAPH – INTERFERENCE DEVICES / FORGED SEALS					
4-20A	UNAUTHORISED DEVICE PRESENT ON VEHICLE - DRIVER - OPERATOR	Any device that is in or on the vehicle capable of interfering with the proper operation of the recording equipment.			Article 15(8) Council Regulation EEC 3821/85, Transport Act 1968, Section 97(1)(a)(iii) SO-5
4-20A.1	CAUSE		TE160DH P	GV170 P	
4-20A.2	PERMIT		FUE	N/A	
			FUE	N/A	

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
4-20A.3	PRODUCED, SUPPLIED OR INSTALLED ANY UNAUTHORISED INTERFERING DEVICE	Any device that is designed to interfere with the proper operation of the recording equipment.	FUE	P	Article 15(8) Council Regulation EEC 3821/85 Transport Act 1968 Section 99ZE(6)(a), SO-5
4-20A.4	PRODUCED, SUPPLIED OR INSTALLED ANY UNAUTHORISED ENABLING DEVICE	Any device that is designed to enable the falsification, alteration, destruction or suppression of data stored in the recording equipment.	FUE	P	Article 15(8) Council Regulation EEC 3821/85 Transport Act 1968 Section 99ZE(6)(b), SO-5
4-20A.5	PROVIDED INFORMATION TO ASSIST THE PRODUCTION OF AN UNAUTHORISED DEVICE		FUE	P	Article 15(8) Council Regulation EEC 3821/85, Transport Act 1968 Section 99ZE(7), SO-5
4-23B	FORGE, ALTER OR USE WITH INTENT TO DECEIVE A SEAL ON TACHOGRAPH SYSTEM - DRIVER - OPERATOR		TE160DH P FUE	GV170 P N/A	Transport Act 1968, Section 97AA(1) & (2), SO-Stat Max IND-2 years Max prison

SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
MISCELLANEOUS					
4-16A.1	ANY DRIVER SUBJECT TO THE ORDERS OF MORE THAN ONE TRANSPORT UNDERTAKING FAILS, WITHOUT REASONABLE EXCUSE, TO PROVIDE TO EACH EMPLOYER SUFFICIENT INFORMATION TO ENABLE EACH TRANSPORT UNDERTAKING TO AVOID A CONTRAVENTION OF ARTICLES 5-9 OF COUNCIL REGULATION (EC) 561/2006	If exceptional circumstances prevailed and/or the offence is unlikely to be repeated Otherwise than above	VW FUE	N/A N/A	Transport Act 1968 Section 96(11)(D), SO - 4
4-44	DRIVE VEHICLE IN CONTRAVENTION OF A PROHIBITION ISSUED IN RESPECT OF HOURS / RECORDS		TE160DH £200 FP	Go to offence 13-6	Section 99C(A) Transport Act 1968
4-44.1	CAUSE		FUE	N/A	Section 99C(b) Transport Act 1968
4-44.2	PERMIT		FUE	N/A	

SECTION 5 – UK DOMESTIC DRIVERS HOURS AND RECORDS

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
DRIVERS HOURS - DOMESTIC					
5-3	EXCEED DAILY DRIVING - PASSENGER AND GOODS VEHICLES - DOMESTIC REGS - DRIVER	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p style="text-align: center;">&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 96(1) & 11(a) of the Transport Act 1968, SO-4</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-3.1	<p>EXCEED DAILY DRIVING</p> <p>- PASSENGER AND GOODS VEHICLES</p> <p>- DOMESTIC REGS</p> <p>CAUSE</p>		FUE	N/A	Section 96(1) & 11(b) of the Transport Act 1968, SO-4
5-3.2	<p>PERMIT</p> <p>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</p>		FUE	N/A	

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-4	<p>EXCEED DAILY DUTY</p> <p>- GOODS VEHICLE</p> <p>- DOMESTIC REGS</p> <p>- DRIVER</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 96(3) & 11(a) of the Transport Act 1968, SO-4</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-4.1 5-4.2	<p>EXCEED DAILY DUTY</p> <p>- GOODS VEHICLE</p> <p>- DOMESTIC REGS</p> <p>CAUSE</p> <p>PERMIT</p> <p>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</p>		FUE FUE	N/A N/A	Section 96(3) & 11(b) of the Transport Act 1968, SO-4

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-4A	<p>EXCEED 16 HOURS DAILY DUTY</p> <p>- PASSENGER VEHICLES</p> <p>- DOMESTIC REGS</p> <p>- DRIVER</p>	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 96(3)(c)&(11) of the Transport Act 1968 as modified by Art 4(3) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-4A.1 5-4A.2	<p>EXCEED 16 HOURS DAILY DUTY</p> <p>- PASSENGER VEHICLES</p> <p>- DOMESTIC REGS</p> <p>CAUSE</p> <p>PERMIT</p> <p>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</p>		FUE FUE	N/A N/A	Section 96(3)(c)&(11) of the Transport Act 1968 as modified by Art 4(3) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-7	FAIL TO TAKE DAILY REST - PASSENGER VEHICLES - DOMESTIC REGS - DRIVER	<p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If driving time has been exceeded more than 15 minutes and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 96(4) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-7.1 5-7.2	<p>FAIL TO TAKE DAILY REST</p> <p>- PASSENGER VEHICLES</p> <p>- DOMESTIC REGS</p> <p>CAUSE</p> <p>PERMIT</p> <p>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</p>		FUE FUE	N/A N/A	Section 96(4) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-9	<p>FAIL TO TAKE FORTNIGHTLY REST</p> <p>- PASSENGER VEHICLES</p> <p>- DOMESTIC REGS</p> <p>- DRIVER</p>	<p>If weekly rest reduced by less than 1 hour:</p> <ul style="list-style-type: none"> - in isolated cases - If apparent that the tolerance is being deliberately abused on regular basis - If a continued journey is suspected and offence is current <p>If weekly rest period reduced by more than 1 hour and offence is current - unless a reasonable justification is given, eg finish of duty and is very close to base:-</p> <p>Over 1hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p><u>PREVIOUS OFFENCES:-</u></p> <p><u>Any</u> 4 or more previous offences which equate to a £60 OR £120 FP offence <u>or</u> one offence which equates to a £200 FP offence</p> <p>Otherwise than above</p>	<p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p>&</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p> <p>AL</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 96(6) & (11)(a) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
5-9.1 5-9.2	<p>FAIL TO TAKE FORTNIGHTLY REST</p> <p>- PASSENGER VEHICLES</p> <p>CAUSE</p> <p>PERMIT</p> <p>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</p>		FUE FUE	N/A N/A	Section 96(6) & (11)(a) of the Transport Act 1968 modified by Article 4(6) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4
FALSIFIED RECORDS					
5-2B 5-2B.1	<p>KNOWINGLY MAKES A FALSE ENTRY IN A BOOK, REGISTER OR DOCUMENT</p> <p>- DRIVER</p> <p>- OPERATOR</p> <p>CAUSE</p>	This offence relates to any book, register or document required to be kept or carried for the purposes of Section 98, Transport Act 1968	TE160DH P FUE FUE	N/A N/A N/A	Transport Act 1968, Section 99(5) SO-Prescribed sum IND-Prison 2 yrs

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
FAIL TO KEEP / PRODUCE RECORDS					
5-1	FAIL TO KEEP A CURRENT RECORD	<p>In exceptional circumstances eg driver just started and / or valid reason given that can be supported.</p> <p>Otherwise than above</p>	<p>VW</p> <p>TE160DH £200 FP</p>	<p>N/A</p> <p>N/A</p>	<p>Regulation 7(4) Drivers' Hours (Goods Vehicles) (Keeping of Records) Regulations 1987 & Section 98 of the Transport Act 1968, SO-4</p>
5-1.1	CAUSE		FUE	N/A	
5-2	<p>FAIL TO PRODUCE RECORD BOOK OR TACHOGRAPH CHARTS IF BEING USED</p> <p>- DRIVER</p> <p>- OPERATOR</p>	<p>Certain circumstances eg first day of driving due to absence / holiday / other duties</p> <p>Otherwise than above</p> <p>Certain circumstances eg first day of driving due to absence / holiday / other duties</p> <p>Otherwise than above</p> <p>*If tachograph record sheets are being used than only 1 fixed penalty notice is to be issued no matter how many records are not produced and only if the current record is not produced.</p>	<p>VW</p> <p>TE160DH £200 FP*</p> <p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Section 99 of the Transport Act 1968, SO-3</p>

SECTION 5 – UK DOMESTIC HOURS AND RECORDS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
RECORDS - ISSUE/EXAMINE/ SUBMIT					
5-1A	FAIL TO ISSUE A DRIVER'S RECORD BOOK		FUE	N/A	Regulation 6(1) Drivers' Hours (Goods Vehicles) (Keeping of Records) Regulations 1987 Section 98(4) of the Transport Act 1968, SO-4
5-2A	FAIL TO EXAMINE AND SIGN DRIVERS WEEKLY RECORD SHEET	In exceptional circumstances and / or valid reason given that can be supported and no drivers hours offences found Otherwise than as above	NFA FUE	N/A N/A	Regulation 8(2) Drivers Hours (Goods Vehicles) (Keeping of Records) Regulations 1987. Section 98(4) of the Transport Act 1968, SO-4
5-6	FAIL TO SUBMIT WEEKLY RECORD SHEET FOR EXAMINATION (DRIVER)	In exceptional circumstances and / or valid reason given that can be supported and no drivers hours offences found Otherwise than above	VW £200 FP	N/A N/A	Regulation 9(2) Drivers Hours (Goods Vehicles) (Keeping of Records) Regulations 1987. Section 98(4) of the Transport Act 1968, SO-4

SECTION 6 – HGV OPERATOR LICENSING

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
UNAUTHORISED USE OF LICENCE / DISC / OC & FAIL TO DISPLAY					
6-11	NO OPERATORS LICENCE -OWN GOODS	If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing	AL	N/A	Sections 2(1)(b) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5
		If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period	AL	N/A	
		Otherwise than above	P	N/A	
6-11A	AID & ABET UNAUTHORISED USE – OWN ACCOUNT		FUE	N/A	Section 44 of the Magistrates' Courts Act 1980, IND - 10 years max Prison
6-12	NO OPERATORS LICENCE - HIRE OR REWARD	If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing	AL	N/A	Sections 2(1)(a) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5
		If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period	AL	N/A	
		Otherwise than above	P	N/A	

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-12A	AID, ABET, COUNSEL OR PROCURE UNAUTHORISED USE - HIRE AND REWARD		FUE	N/A	Section 44 of the Magistrates' Courts Act 1980, SO-5
6-12.1	USE MORE VEHICLES THAN THE MAXIMUM NUMBER SPECIFIED IN THE LICENCE		FUE	N/A	Sections 6(3) & (8) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5
6-12.2	USE OUTSIDE ONE MONTH GRACE		FUE	N/A	Section 5(6) & 6(3) of the Goods Vehicles (Licensing of Operators Act) 1995, SO-5
6-13	FAIL TO DISPLAY AN OPERATORS LICENCE		VW	N/A	Regulations 25(3) & 32 of the Goods Vehicles (Licensing of Operators) Regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-1
6-14	USING A VEHICLE ON A RESTRICTED OPERATORS LICENCE WHILST CARRYING GOODS FOR HIRE OR REWARD	Operator carrying goods for hire or reward when only the holder of a Restricted Operators Licence	FUE	N/A	Section 3(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-a fine not exceeding £500

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-15	USING A VEHICLE ON AN INTERNATIONAL JOURNEY CARRYING GOODS FOR HIRE OR REWARD WHILST ONLY HOLDING A STANDARD NATIONAL OPERATORS LICENCE	Operator carrying goods for hire or reward on an international journey when only the holder of a Standard National Operator Licence	FUE	N/A	Section 3(7) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-a fine not exceeding £500
6-18	USE OF AN UNAUTHORISED OPERATING CENTRE		FUE	N/A	Section 7(1) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4
USING / LENDING WITH INTENT TO DECEIVE					
6-3	USE AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-4	LENDS TO, OR ALLOWS AN OPERATORS LICENCE TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(b) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-8	USED AN OPERATOR'S LICENCE IDENTITY DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-8A	AID OR ABET USE OF AN OPERATOR'S LICENCE IDENTITY DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 44 of the Magistrates' Courts Act 1980, IND - 10 years Max. Prison
6-9	LENDS TO, OR ALLOWS AN OPERATORS LICENCE IDENTITY DISC TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(b) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
FALSE DOCUMENTS / STATEMENTS					
6-1	FORGED AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-2	ALTERED AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND - 2yrs prison, or fine, or both
6-5	MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE		FUE	N/A	Section 38(1)(c) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-6	FORGED AN OPERATORS LICENCE IDENTITY DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-7	ALTERED AN OPERATORS LICENCE IDENTITY DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-10	MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE IDENTITY DISC		FUE	N/A	Section 38(1)(c) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 SO-Statutory Max, IND – 2 yrs prison, or fine, or both
6-21	ALTERING AN OPERATOR'S LICENCE IDENTITY DISC	Write on, or make any other alteration, to an Operators Licence Identity Disc without intent to deceive If alteration made in innocence and isolated offence Otherwise than above	AL FUE	N/A N/A	Section 23(4), 32 and 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-19	FALSE STATEMENT TO OBTAIN OR VARY AN OPERATORS LICENCE		FUE	N/A	Sections 39(1) & (2) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4
FAIL TO COMPLY WITH CONDITIONS ATTACHED TO LICENCE					
6-16	FAIL TO COMPLY WITH CONDITIONS FOR SECURING ROAD SAFETY THAT HAVE BEEN ATTACHED TO A LICENCE		FUE	N/A	Section 21(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4
6-17	FAIL TO COMPLY WITH ENVIRONMENTAL CONDITIONS THAT HAVE BEEN ATTACHED TO A LICENCE		FUE	N/A	Section 23(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4
FAIL TO NOTIFY TC OF CHANGES					
6-16A	FAIL TO NOTIFY THE TRAFFIC COMMISSIONER OF CHANGES IN BUSINESS CONDITIONS.		FUE	N/A	Section 22(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4

SECTION 6 – HGV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
6-18A	FAIL TO NOTIFY TRAFFIC COMMISSIONER, WITHIN 28 DAYS, OF AN EFFECTIVE ADDRESS FOR CORRESPONDENCE.		FUE	N/A	Reg. 25 of the Goods Vehicles (Licensing of Operators) regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4
6-20	FAIL TO REMOVE VEHICLE FROM AN OPERATOR'S LICENCE WITHIN 21 DAYS.	Operator has failed to notify TC that he has ceased to use a specified vehicle and has failed to return the disc and licence for variation	FUE	N/A	Reg. 28(1) & 32 of the Goods Vehicles (Licensing of Operators) regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4

SECTION 7 – PSV OPERATOR LICENSING

SECTION 7 – PSV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
UNAUTHORISED USE & FAIL TO DISPLAY					
7-1	NO PSV OPERATOR'S LICENCE	<p>Eight passenger seats or less and charging separate fares or more than eight passenger seats:-</p> <p>If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing</p> <p>If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period</p> <p>Otherwise than above</p>	AL AL P	N/A N/A N/A	Part II of The Public Passenger Vehicles Act 1981 (Section 12(1)), SO-4
7-1A	AID & ABET UNAUTHORISED USE OF PSV		FUE	N/A	Section 44 of the Magistrates' Courts Act 1980, IND. 10years Max. Prison
7-1B	USE MORE PUBLIC SERVICE VEHICLES THAN AUTHORISED.		FUE	N/A	Section 16(1) & (7) of The Public Passenger Vehicles Act 1981, SO-3

SECTION 7 – PSV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
7-2	FAIL TO DISPLAY OPERATOR'S LICENCE DISC	A deliberate act with suspicion of motive of mischief i.e. using more vehicles than authorised. Otherwise than above	FUE AL	N/A N/A	Section 18 of The Public Passenger Vehicles Act 1981, SO-3
USING / LENDING WITH INTENT TO DECEIVE					
7-5	USED AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-6	LENDS TO, OR ALLOWS, AN OPERATORS LICENCE TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-10	USED AN OPERATORS DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-11	LENDS TO, OR ALLOWS, AN OPERATORS DISC TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison

SECTION 7 – PSV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
FALSE DOCUMENTS / STATEMENTS					
7-3	FORGED AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2yrs prison
7-4	ALTERED AN OPERATORS LICENCE WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-7	MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE		FUE	N/A	Section 65(2) of The Public Passenger Vehicle Act 1981 SO-Statutory Max IND-2 yrs prison
7-8	FORGED AN OPERATORS DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-9	ALTERED AN OPERATORS DISC WITH INTENT TO DECEIVE		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison

SECTION 7 – PSV OPERATOR LICENSING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
7-12	MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS DISC		FUE	N/A	Section 65(2) of The Public Passenger Vehicles Act 1981 SO-Statutory Max IND-2 yrs prison
7-13	FALSE STATEMENT TO OBTAIN AN OPERATORS LICENCE		FUE	N/A	Section 66(a) of The Public Passenger Vehicles Act 1981, SO-4
7-14	FALSE STATEMENT TO OBTAIN A CERTIFICATE OF INITIAL FITNESS		FUE	N/A	Section 66(b) of The Public Passenger Vehicles Act 1981, SO-4
7-15	ALTERING AN OPERATOR LICENCE DISC	<p>Write on, or make any other alteration, to an Operators Licence Identity Disc without intent to deceive</p> <p>If alteration made in innocence and isolated offence</p> <p>Otherwise than above</p>	<p>AL</p> <p>FUE</p>	<p>N/A</p> <p>N/A</p>	Regulation 13(3) of The Public Service Vehicles (Operators Licences) Regulations 1995, and Section 67 of The Public Passenger Vehicles Act 1981, SO-3

SECTION 8 – PLATING AND TESTING

SECTION 8 – PLATING AND TESTING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
PLATING					
8-1	NO MINISTRY PLATE DISPLAYED - VEHICLE	If operator then fail to comply with the ORN requirements	ORN	N/A	Regulation 70(1) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4
8-1.1	CAUSE		FUE	N/A	
8-1.2	PERMIT		FUE	N/A	
8-2	NO MINISTRY PLATE DISPLAYED - TRAILER	If operator then fail to comply with the ORN requirements	ORN	N/A	Regulation 70(1) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4
8-2.1	CAUSE		FUE	N/A	
8-2.2	PERMIT		FUE	N/A	

SECTION 8 – PLATING AND TESTING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
8-3	NO MANUFACTURER'S PLATE DISPLAYED - VEHICLE	Plate not required for a vehicle manufactured prior to January 1968 If Ministry plate fitted Otherwise than above If operator then fail to comply with the ORN requirements	VW ORN FUE	N/A N/A N/A	Regulation 66(2) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4
8-3.1	CAUSE		FUE	N/A	
8-3.2	PERMIT		FUE	N/A	
8-4	NO MANUFACTURER'S PLATE - TRAILER	If Ministry plate fitted Other than above If operator then fail to comply with the ORN requirements	VW ORN FUE	N/A N/A N/A	Regulation 66(2) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988
8-4.1	CAUSE		FUE	N/A	
8-4.2	PERMIT		FUE	N/A	
8-9	NO PLATING CERTIFICATE		FUE	N/A	The Goods Vehicles (Plating & Testing) Regulations 1988, Regulation 9, Road Traffic Act 1988, Section 53(1), SO-3
8-9.1	CAUSE		FUE	N/A	
8-9.2	PERMIT		FUE	N/A	

SECTION 8 – PLATING AND TESTING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
8-15	FAIL TO SUBMIT A GOODS VEHICLE FOR MOT PLATING		FUE	N/A	Regulation 4 of The Goods Vehicles (Plating & Testing) Regulations 1988 and Section 53(1)(a) & (b) Road Traffic Act 1988, SO – 3
8-15.1	CAUSE		FUE	N/A	Cause or permit - Section 53(1)(b) of the Road Traffic Act 1988, SO-3
8-15.2	PERMIT		FUE	N/A	
8-11	FAILURE TO NOTIFY ALTERATIONS	Minor changes, eg registration number (cherish transfer). Otherwise than above, eg add on axle.	VW	N/A	Regulation 30, The Goods Vehicles (Plating & Testing) Regulations 1988 and Section 53(3)(a) of the Road Traffic Act 1988, SO-3
8-11.1	CAUSE		FUE	N/A	Cause or permit - Section 53(3)(b) of the Road Traffic Act 1988, SO-3
8-11.2	PERMIT		FUE	N/A	
TESTING					
8-5	NO GOODS VEHICLE TEST CERTIFICATE IN FORCE (FIRST TEST)		FUE	N/A	Regulation 9 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4
8-5.1	CAUSE		FUE	N/A	
8-5.2	PERMIT		FUE	N/A	

SECTION 8 – PLATING AND TESTING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
8-6	NO GOODS VEHICLE TEST CERTIFICATE IN FORCE - PERIODICAL TEST		FUE	N/A	Regulation 10 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4
8-6.1	CAUSE		FUE	N/A	
8-6.2	PERMIT		FUE	N/A	
8-7	NO GOODS VEHICLE TEST CERTIFICATE IN FORCE -(TRAILER) - FIRST TEST		FUE	N/A	Regulation 9 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4
8-7.1	CAUSE		FUE	N/A	
8-7.2	PERMIT		FUE	N/A	
8-8	NO GOODS VEHICLE TEST CERTIFICATE IN FORCE (TRAILER) - PERIODICAL TEST		FUE	N/A	Regulation 10 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4
8-8.1	CAUSE		FUE	N/A	
8-8.2	PERMIT		FUE	N/A	

SECTION 8 – PLATING AND TESTING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
8-5A	NO LIGHT GOODS VEHICLE TEST CERTIFICATE IN FORCE - PERIODICAL TEST		FUE	N/A	Regulation 5(1) of The Motor Vehicles (Tests) Regulations 1981, and Section 47(1) of the Road Traffic Act 1988, SO-3
8-5A.1	CAUSE		FUE	N/A	
8-5A.2	PERMIT		FUE	N/A	
8-10	FAIL TO DISPLAY TEST DATE DISC - TRAILER	If operator then fail to comply with the ORN requirements	ORN	N/A	Road Vehicles (Construction & Use) Regulations 1986, Regulation 73(1) and Section 42 of the Road Traffic Act 1988, SO-4
			FUE	N/A	
8-10.1	CAUSE		FUE	N/A	
8-10.2	PERMIT		FUE	N/A	

SECTION 9 – CERTIFICATE OF INITIAL FITNESS

SECTION 9 – CERTIFICATE OF INITIAL FITNESS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
9-1	NO CERTIFICATE OF INITIAL FITNESS	The vehicle needs to be carrying passengers for hire and reward	FUE	N/A	Section 6 of The Public Passenger Vehicles Act 1981, SO-4
9-2	NO CURRENT TEST CERTIFICATE	Passenger vehicles with more than 8 seats excluding the driver	FUE	N/A	Regulation 5(1) of the Motor Vehicles (Tests) Regulations 1981, and Section 47(1) of the Road Traffic Act 1988, SO-4
9-2.1	CAUSE		FUE	N/A	
9-2.2	PERMIT		FUE	N/A	

SECTION 10 – OVERLOADING

GUIDANCE NOTES

WEIGHING EQUIPMENT

Whatever type of weighing equipment is used, Examiners must ensure that all relevant requirements of the Consolidated Code of Practice for the Enforcement Weighing of Vehicles are complied with in full. Also, an overloading guidance leaflet should be handed to all drivers.

GRADUATION OF FIXED PENALTY NOTICES

£60 FP -- 5% to 10% or over 1 tonne on axle, gross or train if less than 5%

£120 FP -- Over 10% and up to 15%

£200 FP -- Over 15% and up to 30%

Prosecute over 30% or over 5 tonnes on axle, gross or train if under 30%

At the roadside the driver should receive a prohibition notice and (if statutory defences do not apply) be issued a fixed penalty for every overload over 5% but under 30%. In addition:-

- The driver is also issued with a fixed penalty notice if the excess weight per axle* exceeds 1 tonne but is less than 5%.
- If the axle*, gross or train weights are in excess of 5 tonne but are under 30% or exceed 30% of the permitted weights then the driver will also be reported for prosecution. Where the driver has no verifiable UK address then a court deposit must be taken.

*This may be a single axle or compensating axles where the individual axle weights are added together for the purposes of calculating an overload and which are therefore counted a single axle.

NON COMMERCIAL DRIVERS

In genuine cases of ignorance e.g. moving house, and where the offence is unlikely to be repeated, a prohibition should be issued and an overloading guidance leaflet handed to the driver. To take into account the Public Interest Test no Fixed Penalty Notice should be issued in these circumstances.

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG	
MANUFACTURERS PLATE						
10-1	EXCEEDING MAXIMUM PERMITTED AXLE WEIGHT - MANUFACTURERS PLATE				Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5	
		- DRIVER	If 5% or less.	VW		N/A
			In all cases over 5% and :-	TE160 and :-		N/A
			Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP		N/A
			Over 10% to 15%	£120 FP		N/A
			Over 15% to 30%	£200 FP		N/A
			Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P		N/A
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		
10-1.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		
10-1.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-2	EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT - MANUFACTURERS PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-2.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-2.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-3	EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT - MANUFACTURERS PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-3.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-3.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-4	<p>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (VEHICLE) - MANUFACTURERS PLATE</p> <p>- DRIVER</p>	<p>If 5% or less.</p> <p>In all cases over 5% and :-</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>Over 10% to 15%</p> <p>Over 15% to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p>	<p>VW</p> <p>TE160 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Regulation 80(1)(a) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5</p>
	<p>- OPERATOR (AS USER)</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-4.1	<p>CAUSE</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-4.2	<p>PERMIT</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-5	<p>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (TRAILER) - MANUFACTURERS PLATE</p> <p>- DRIVER</p>	<p>If 5% or less.</p> <p>In all cases over 5% and :-</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>Over 10% to 15%</p> <p>Over 15% to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p>	<p>VW</p> <p>TE160 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Regulation 80(1)(a) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5</p>
	<p>- OPERATOR (AS USER)</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-5.1	CAUSE	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-5.2	PERMIT	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG	
MOT PLATE						
10-6	EXCEEDING MAXIMUM PERMITTED AXLE WEIGHT - MOT PLATE	- DRIVER	If 5% or less.	VW	N/A	Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
			In all cases over 5% and :-	TE160 and :-	N/A	
			Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	N/A	
			Over 10% to 15%	£120 FP	N/A	
			Over 15% to 30%	£200 FP	N/A	
			Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	N/A	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		
10-6.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		
10-6.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A		
		Otherwise than above	NFA	N/A		

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-7	EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT - MOT PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-7.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-7.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-8	EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT - MOT PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-8.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-8.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-9	EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (VEHICLE) - MOT PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(b) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-9.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-9.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-10	EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (TRAILER) - MOT PLATE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	N/A N/A N/A N/A N/A	Regulation 80(1)(b) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-10.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-10.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
NO PLATE OR MIS MATCHED COMBINATIONS					
10-11	EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF AN ARTICULATED VEHICLE (SPACING OF AXLES) - DRIVER	If 5% or less.	VW	VW	Regulation 4(1)(b) Table 4, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5
		In all cases over 5% and :-	TE160 and :-	GV170 and :-	
		Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	£60 FP	
		Over 10% to 15%	£120 FP	£120 FP	
		Over 15% to 30%	£200 FP	£200 FP	
		Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	P	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
	Otherwise than above	NFA	N/A		
10-11.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-11.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-11A	EXCEED MAXIMUM PERMITTED WEIGHT OF RIGID VEHICLE (SPACING OF AXLES)				Regulation 4(1)(a) Table 2 Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5
	- DRIVER	If 5% or less.	VW	VW	
		In all cases over 5% and :-	TE160 and :-	GV170 and :-	
		Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	£60 FP	
		Over 10% to 15%	£120 FP	£120 FP	
		Over 15% to 30%	£200 FP	£200 FP	
		Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	P	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-11A.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-11A.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-11B	EXCEED MAXIMUM PERMITTED WEIGHT OF DRAW-BAR TRAILER (SPACING OF AXLES) - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	VW GV170 and :- £60 FP £120 FP £200 FP P	Regulation 4(1)(a) Table 2 Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5
10-11B.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-11B.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-12	<p>EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF AN ARTICULATED VEHICLE (NUMBER OF AXLES).</p> <p>- DRIVER</p>	<p>If 5% or less.</p> <p>In all cases over 5% and :-</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>Over 10% to 15%</p> <p>Over 15% to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p>	<p>VW</p> <p>TE160 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>VW</p> <p>GV170 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>Regulation 4(1)(b) Table 3, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5</p>
	<p>- OPERATOR (AS USER)</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-12.1	CAUSE	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>P</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-12.2	PERMIT	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>P</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-12A	<p>EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF ARTICULATED VEHICLE (NOT FITTED WITH ROAD FRIENDLY SUSPENSION, ETC)</p> <p>- DRIVER</p>	<p>If 5% or less.</p> <p>In all cases over 5% and :-</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>Over 10% to 15%</p> <p>Over 15% to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p>	<p>VW</p> <p>TE160 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>VW</p> <p>GV170 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>Regulation 4(1)(b) Table 3, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5</p>
	- OPERATOR (AS USER)	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-12A.1	CAUSE	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-12A.2	PERMIT	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-13	EXCEEDING MAXIMUM PERMITTED WEIGHT OF A VEHICLE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	VW GV170 and :- £60 FP £120 FP £200 FP P	Regulation 75 & Part 1, 1A & II of Schedule 11 of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-13.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-13.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-13A	EXCEEDING MAXIMUM PERMITTED WEIGHT OF A VEHICLE OR TRAILER				Regulation 4(1)(a) Table 1 Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5
	- DRIVER	If 5% or less.	VW	VW	
		In all cases over 5% and :-	TE160 and :-	GV170 and :-	
		Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	£60 FP	
		Over 10% to 15%	£120 FP	£120 FP	
		Over 15% to 30%	£200 FP	£200 FP	
		Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	P	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-13A.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-13A.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-14	EXCEEDING MAXIMUM PERMITTED WHEEL AND AXLE WEIGHTS - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	VW GV170 and :- £60 FP £120 FP £200 FP P	Regulation 4(1)(c) Table 5, Schedule 5 of the Road Vehicles (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-14.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-14.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-15	EXCEEDING MAXIMUM PERMITTED WEIGHTS FOR CLOSELY SPACED AXLES - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	VW GV170 and :- £60 FP £120 FP £200 FP P	Regulation 4(1)(c) Table 6, Schedule 3 of the Road Vehicles (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-15.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-15.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-16	EXCEEDING MAXIMUM PERMITTED WEIGHT OF VEHICLE AND TRAILER OTHER THAN AN ARTICULATED VEHICLE - DRIVER	If 5% or less. In all cases over 5% and :- Over 5% or over 1 tonne on axle, gross or train (if less than 5%) Over 10% to 15% Over 15% to 30% Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	VW TE160 and :- £60 FP £120 FP £200 FP P	VW GV170 and :- £60 FP £120 FP £200 FP P	Regulation 4(1)(b) Table 3, Schedule 2 (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5
10-16.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
10-16.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) Otherwise than above	FUE NFA	N/A N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
COMBINED TRANSPORT OPERATIONS					
10-18	<p>COMBINED TRANSPORT OPERATIONS USE A VEHICLE IN ACCORDANCE WITH SCHEDULE 11A WHEN THE MAXIMUM MINISTRY PLATED WEIGHT OR THE DESIGN WEIGHT SHOWN ON THE PLATING CERTIFICATE HAS BEEN EXCEEDED</p> <p>- DRIVER</p>	<p>If 5% or less.</p> <p>In all cases over 5% and :-</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>Over 10% to 15%</p> <p>Over 15% to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p>	<p>VW</p> <p>TE160 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>VW</p> <p>GV170 and:-</p> <p>£60 FP</p> <p>£120 FP</p> <p>£200 FP</p> <p>P</p>	<p>Regulation 80(1)(a) or (b) (see *) and Schedule 11A of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5</p> <p>* (a) manufacturers plate (b) - ministry plate</p>
10-18.1	<p>CAUSE</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
10-18.2	<p>PERMIT</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	
	<p>- OPERATOR (AS USER)</p>	<p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>	<p>FUE</p> <p>NFA</p>	<p>N/A</p> <p>N/A</p>	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG				
AGRICULTURAL VEHICLES / TRAILERS									
10-20	EXCEED GROSS WEIGHT OF 2-AXLED AGRICULTURAL TRAILER.				Regulation 75(1) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5				
						- DRIVER	If 5% or less.	VW	VW
							In all cases over 5% and :-	TE160 and:-	GV170 and:-
							Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	£60 FP
							Over 10% to 15%	£120 FP	£120 FP
							Over 15% to 30%	£200 FP	£200 FP
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	P					
		Otherwise than above	FUE	N/A					
10-20.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	NFA	N/A					
		Otherwise than above	FUE	N/A					
10-20.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	NFA	N/A					
		Otherwise than above	FUE	N/A					

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
10-21	EXCEED TOTAL WEIGHT OF AGRICULTURAL VEHICLE AND TRAILER.				Regulation 76(1) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5
	- DRIVER	If 5% or less.	VW	VW	
		In all cases over 5% and :-	TE160 and :-	GV170 and :-	
		Over 5% or over 1 tonne on axle, gross or train (if less than 5%)	£60 FP	£60 FP	
		Over 10% to 15%	£120 FP	£120 FP	
		Over 15% to 30%	£200 FP	£200 FP	
		Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	P	P	
	- OPERATOR (AS USER)	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-21.1	CAUSE	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	
10-21.2	PERMIT	Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)	FUE	N/A	
		Otherwise than above	NFA	N/A	

SECTION 10 – OVERLOADING

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
MISCELLANEOUS					
10-19	DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION IMPOSED FOR OVERLOADING (SECTION 70)		£200 FP	Go to offence 13-6	Section 71 of the Road Traffic Act 1988, SO-5
10-19.1	CAUSE		FUE	N/A	
10-19.2	PERMIT		FUE	N/A	
10-17	FAIL TO PROCEED TO WEIGHBRIDGE WHEN INSTRUCTED TO DO SO BY AUTHORISED PERSON (EXAMINER)		FUE	NFA	Section 78(3) of the Road Traffic Act 1988, SO-5

SECTION 11 – PSV MISCELLANEOUS OFFENCES

NOTES FOR OFFENCES 11.21 – 11.39

The Public service Vehicle Accessibility Regulations 2000 define the standards or equipment required of public service vehicles in relation to disabled passengers. The regulations apply to buses and coaches **which have a capacity exceeding 22 passengers and used to provide local or scheduled services only**. They come into force on differing dates depending on the age and first use of a vehicle. Vehicles are certified in accordance with Schedules 1, 2 & 3 as follows:-

CERTIFICATE REQUIRED	DATE OF FIRST USE*	COACH OR BUS	SINGLE OR DOUBLE DECK	EFFECTIVE DATE
SCHEDULE 1	On or after 31 December 2000	BUS BUS	SINGLE DECK > 7.5 TONNES DOUBLE DECK	1 January 2004
SCHEDULE 1	On or after 1 January 2005	BUS COACH	SINGLE DECK =< 7.5 TONNES SINGLE or DOUBLE DECK	1 January 2004
SCHEDULE 1	Before 31 December 2000 or is manufactured before 1 October 2000	BUS BUS	SINGLE DECK > 7.5 TONNES DOUBLE DECK	1 January 2016 1 January 2017
SCHEDULE 1	Before 1 January 2005 or manufactured before 1 October 2004	BUS COACH	SINGLE DECK =< 7.5 TONNES SINGLE OR DOUBLE DECK	1 January 2015 1 January 2020
SCHEDULE 2	On or after 31 December 2000	BUS BUS BUS	SINGLE DECK > 7.5 TONNES SINGLE DECK =< 7.5 TONNES DOUBLE DECK	1 January 2004
SCHEDULE 2	Before 31 December 2000 or is manufactured before 1 October 2000	BUS BUS	SINGLE DECK =< 7.5 TONNES SINGLE DECK > 7.5 TONNES	1 January 2015 1 January 2016
SCHEDULE 3	On or after 31 December 2000	COACH	SINGLE or DOUBLE DECK	1 January 2004
SCHEDULE 3	Before 31 December 2000 or is manufactured before 1 October 2000	COACH	SINGLE or DOUBLE DECK	1 January 2020

SCHEDULE 1 – Wheelchair accessibility requirements. **SCHEDULE 2** – General accessibility requirements for single deck and double deck buses. **SCHEDULE 3** – General accessibility requirements for single deck and double deck coaches

*FIRST USE – means, in general, the date of first registration. There are some exceptions for vehicles used under trade licences, military vehicles (UK AND visiting forces), imported vehicles and vehicles used on roads after being sold but before being registered in which case first use is the date of manufacture – see Regulation 2 of The Public Service Vehicle Accessibility Regulations 2000 for further detail.

EXEMPTIONS – Off-road vehicles, vehicle used under section of Transport Act 1985, secure transport of persons (eg prisoners), carriage of sick or injured persons, Crown vehicles, visiting forces vehicles or a vehicle registered more than 20 years ago and which is not used for more than 20 days in any year on a local/scheduled service – Reg 4 of PSV Accessibility Regs 2000 for more detail.

OFFENCES – Where an operator has made arrangements that either only the driver or only the conductor is responsible for fulfilling a particular duty then only that person is deemed to be responsible.

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
SEAT BELTS					
11-16	INSUFFICIENT OR NO SEAT BELTS FITTED TO CATER FOR THE NUMBER OF CHILDREN CARRIED ON MINIBUS OR COACH - DRIVER	Seat belts are required to be fitted to the appropriate number of forward facing seats (not including wheelchairs for the disabled) of a coach or minibus that is used wholly or mainly for the purpose of carrying 3 or more children in the following circumstances: The group of children are on an organised trip; and The journey is being made for the purpose of the trip	£30 FP	£30 FP	Regulation 48A of Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988
	- OPERATOR		FUE	N/A	
11-16.1	CAUSE		FUE	N/A	
11-16.2	PERMIT		FUE	N/A	

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-16A	FAIL TO NOTIFY PASSENGERS OF THE REQUIREMENT TO WEAR SEAT BELTS	No official announcement or audio/visual presentation made when the passenger joins the bus or within a reasonable time of doing so <u>or</u> a sign prominently displayed at each passenger seat equipped with a seat belt	VW	N/A	Section 15B of the Road Traffic Act 1988
EXCEED CAPACITY & STANDING FORWARD					
11-6	EXCEEDING THE MAXIMUM SEATING CAPACITY	A child under 5 who is not occupying a seat does not count as a passenger. Three seated children, none of whom are occupying a seat provided with a seat belt, shall count as two passengers. A child shall be deemed to be aged under 14 until the end of August following their fourteenth birthday.	FUE	GV170	Regulation 5(1) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 26 of The Public Passenger Vehicles Act 1981, SO-2
11-7	EXCEEDING THE MAXIMUM STANDING CAPACITY		FUE	GV170	Regulation 7(1) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 26 of The Public Passenger Vehicles Act 1981, SO-2

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-7A	STANDING IN GANGWAY FORWARD OF REARMOST PART OF THE DRIVER'S SEAT		VW	N/A	Regulation 7(1) & (2) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 25 of The Public Passenger Vehicles Act 1981, SO-2
EMERGENCY EXITS & OBSTUCTIONS IN GANGWAY ETC					
11-10	EMERGENCY DOOR LOCKED (EXTERNALLY)		PG9(l)	GV171	Regulation 5(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990, and Section 24(2) of The Public Passenger Vehicles Act 1981, SO-2
11-19	NO MEANS READILY AVAILABLE TO BREAK GLASS TO EMERGENCY EXIT		AL	N/A	Regulation 21(12) of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-9	CAUSE ANY UNNECESSARY OBSTRUCTION TO ANY ENTRANCE, EXIT OR GANGWAY OF THE VEHICLE - INCLUDING JAMMED DOORS	<p>Jammed door, defective component, etc</p> <p>Entrance, exit or gangway obstructed/blocked by luggage etc:</p> <p>- minor nature eg partially blocked</p> <p>- Otherwise than above</p>	<p>PG9(l)</p> <p>AL</p> <p>FUE</p>	<p>GV171</p> <p>VW</p> <p>GV171</p>	Regulation 37 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2
11-9.1	PERMIT ANY UNNECESSARY OBSTRUCTION TO ANY ENTRANCE, EXIT OR GANGWAY OF THE VEHICLE - INCLUDING JAMMED DOORS	<p>Jammed door, defective component, etc</p> <p>Entrance, exit or gangway obstructed/blocked by luggage etc:</p> <p>- minor nature eg partially blocked</p> <p>- Otherwise than above</p>	<p>PG9(l)</p> <p>AL</p> <p>FUE</p>	<p>GV171</p> <p>VW</p> <p>GV171</p>	Reg 37 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regs 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2
DOCUMENTATION					
11-11	USE A VEHICLE FOR THE CARRIAGE OF PASSENGERS ON AN EC REGULAR SERVICE WITHOUT BEING THE HOLDER OF A REGULAR SERVICE AUTHORISATION	<p>If operator holds authorisation but driver was not carrying document</p> <p>If not the holder of a Regular Service Authorisation</p>	<p>AL</p> <p>£30 FP</p>	<p>GV170 £30 FP</p> <p>GV170 £30 FP</p>	Articles 2(1) & 4, EC 684/92. Regulation 19(1) & 19(3), The Road Transport (International Passenger Services) Regs 1984. SO-4

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-11A	USE A VEHICLE FOR INTERNATIONAL CARRIAGE OF PASSENGERS WITHOUT BEING THE HOLDER OF A COMMUNITY LICENCE	If operator holds authorisation but driver was not carrying document If not the holder of a Community Licence	AL £30 FP	GV170 £30 FP GV170 £30 FP	Article 3a(1), Council Regulation EC 684/92. Regulation 3, The Public Service Vehicles (Community Licences) Regulations 1999, SO-4
11-11B	FAIL TO COMPLY WITH CONDITIONS GOVERNING THE USE OF A COMMUNITY LICENCE		£30 FP	GV170 £30 FP	Article 3a Council Regulation EC 684/92. Regulation 7, The Public Service Vehicles (Community Licences) Regulations 1999, SO-4
11-11C	FAIL TO RETURN A COMMUNITY LICENCE AND ALL CERTIFIED COPIES OF IT WHEN REQUESTED TO DO SO		FUE	N/A	Article 3a Council Regulation EC 684/92. Regulation 9, The Public Service Vehicles (Community Licences) Regulations 1999, SO-4
11-13	USE A VEHICLE FOR THE CARRIAGE OF PASSENGERS ON AN EC/ASOR OCCASIONAL SERVICE WITHOUT A CORRECTLY COMPLETED CONTROL DOCUMENT (I.E. WAYBILL) BEING CARRIED	For minor breaches with regard to completion of the document Documents not being carried or not completed at all or not completed before the commencement of the journey	AL £30 FP	VW GV170 £30 FP	Articles 6 & 10 of the Agreement on the International Occasional Carriage of Passengers by Coach and Bus (INTERBUS Agreement) EC917/02 and Regulation 19(2) & (3), The Road Transport (International Passenger Services) Regs 1984, SO-3

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-39	USE A REGULATED PUBLIC SERVICE VEHICLE WITHOUT AN ACCESSIBILITY CERTIFICATE ISSUED BY A VEHICLE EXAMINER OR AN APPROVAL CERTIFICATE		FUE	N/A	Section 41(1)(a) and (b) of the Disability Discrimination Act 1995, SO – 4
11-40	FAIL TO NOTIFY THE SECRETARY OF STATE FORTHWITH IF AN ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE HAS BEEN LOST OR DESTROYED	If genuine reason given Otherwise than above	AL FUE	N/A N/A	Regulation 18(1) of The Public Service Vehicle Accessibility Regulations 2000 and Section 40(3)(a) of The Disability Discrimination Act 1995, SO-4
11-41	FAIL TO RETURN AN ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE TO THE SECRETARY OF STATE FORTHWITH, IF SUCH A CERTIFICATE WAS PREVIOUSLY LOST AND IS THEN FOUND	If genuine reason given Otherwise than above	AL FUE	N/A N/A	Regulation 18(2) of The Public Service Vehicle Accessibility Regulations 2000 and Section 40(3)(a) of The Disability Discrimination Act 1995, SO-4
11-42	FAIL TO RETURN A DEFACED OR ILLEGIBLE ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE TO THE SECRETARY OF STATE	If genuine reason given Otherwise than above	AL FUE	N/A N/A	Regulation 18(2) of The Public Service Vehicle Accessibility Regulations 2000 and Section 40(3)(a) of The Disability Discrimination Act 1995, SO-4

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-43	FORGES, ALTERS OR USES, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT	<p>A relevant documents is:-</p> <ul style="list-style-type: none"> - a certificate of exemption issued under Section 36 or 37 of the DDA 1995 - a notice of the kind mentioned in S36(9)(b) or 37(8)(b) (check if this applies) - an accessibility certificate - a approval certificate 	FUE	N/A	Section 49(1) & (2)(a) of the Disability Discrimination Act 1995, SO-stat max fine, IND – 2 years prison and/or fine
11-44	LENDS, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT	<p>A relevant documents is:-</p> <ul style="list-style-type: none"> - a certificate of exemption issued under Section 36 or 37 of the DDA 1995 - a notice of the kind mentioned in S36(9)(b) or 37(8)(b) (check if this applies) - an accessibility certificate - a approval certificate 	FUE	N/A	Section 49(1) & (2)(b) of the Disability Discrimination Act 1995, SO-stat max fine, IND – 2 years prison and/or fine

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-45	ALLOWS, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT TO BE USED BY ANOTHER PERSON	A relevant documents is:- - a certificate of exemption issued under Section 36 or 37 of the DDA 1995 - a notice of the kind mentioned in S36(9)(b) or 37(8)(b) (check if this applies) - an accessibility certificate - a approval certificate	FUE	N/A	Section 49(1) & (2)(b) of the Disability Discrimination Act 1995, SO-stat max fine, IND – 2 years prison and/or fine
11-46	MAKES OR HAS IN HIS POSSESSION, WITH INTENT TO DECEIVE, ANY DOCUMENT WHICH CLOSELY RESEMBLES A RELEVANT DOCUMENT	A relevant documents is:- - a certificate of exemption issued under Section 36 or 37 of the DDA 1995 - a notice of the kind mentioned in S36(9)(b) or 37(8)(b) (check if this applies) - an accessibility certificate - a approval certificate	FUE	N/A	Section 49(1) & (2)(b) of the Disability Discrimination Act 1995, SO-stat max fine, IND – 2 years prison and/or fine
11-47	KNOWINGLY MAKES A FALSE STATEMENT FOR THE PURPOSE OF OBTAINING AN ACCESSIBILITY CERTIFICATE OR AN APPROVAL CERTIFICATE		FUE	N/A	Section 49(4) of the Disability Discrimination Act 1995, SO-4

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
LEGAL LETTERING & SIGNAGE					
11-8	FAIL TO DISPLAY LEGAL LETTERING	<p>If no legal lettering displayed or if the lettering is not sufficient for communication to be received by the owner of the vehicle.</p> <p>If operator then fail to comply with the ORN requirements</p>	ORN FUE	N/A N/A	Regulation 45 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981 and Section 60 & 67 of The Public Passenger Vehicles Act 1981, SO-2
11-36	FAIL TO ENSURE THAT A ROUTE NUMBER (IF ANY) AND A DESTINATION ARE DISPLAYED - DRIVER OR CONDUCTOR	Route numbers and destinations shall be displayed on the front of the vehicle as close as possible to the part of the windscreen that is with the driver's field of vision and on the nearside, adjacent to the entrance closest to the front.	P	N/A	Regulation 16(1)(a) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)
11-37	FAIL TO ENSURE THAT A ROUTE NUMBER AND DESTINATION ARE KEPT ILLUMINATED BETWEEN SUNSET AND SUNRISE - DRIVER OR CONDUCTOR		P	N/A	Regulation 16(1)(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-38	<p>FAIL TO ENSURE THAT THE VEHICLE DISPLAYS THE CORRECT ROUTE NUMBER AND DESTINATION AT ALL TIMES</p> <p>- DRIVER OR CONDUCTOR</p>	Use this offence where incorrect information is displayed otherwise use 11-36	P	N/A	Regulation 16(1)(c) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)
11-18	ROUTE AND DESTINATION OF SERVICE NOT DISPLAYED.		FUE	N/A	Regulation 13(1)(b) of the Public Service Vehicles (Registration of Local Services) Regulations 1986, and Section 60(1)(f) & 67 of the Public Passenger Vehicles Act 1981, SO-2
11-15	<p>NO PRESCRIBED SIGNS (INDICATING THAT CHILDREN ARE ON BOARD) FITTED TO THE FRONT AND REAR OF A BUS CARRYING CHILDREN TO OR FROM SCHOOL</p>	<p>Offence rectified on site</p> <p>Otherwise than above</p> <p>If operator then fail to comply with the ORN requirements</p>	VW	N/A	Regulation 17A & Schedule 21A of the Road Vehicles Lighting Regulations 1989 and Section 42 of the Road Traffic Act 1988, SO-4
11-15.1	CAUSE		ORN	N/A	
11-15.2	PERMIT		FUE	N/A	
11-15.2			FUE	N/A	

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
USE OF WHEELCHAIR SPACES					
11-25	<p>FAIL TO ENSURE THAT, BEFORE VEHICLE IS DRIVEN, ANY WHEELCHAIR USER IS CORRECTLY AND SAFELY POSITIONED IN A WHEELCHAIR SPACE AND ANY RETRACTABLE RAIL OR SIMILAR DEVICE IS PROPERLY POSITIONED</p> <p>- DRIVER OR CONDUCTOR</p>	Retractable rail or similar device must be able to prevent lateral movement of wheelchair	P	N/A	Regulation 12(4)(e) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)
11-24	<p>FAIL TO ENSURE THAT WHEELCHAIR USERS CAN GAIN ACCESS INTO AND CAN GET OUT OF A WHEELCHAIR SPACE</p> <p>- DRIVER OR CONDUCTOR</p>		P	N/A	Reg 12(4)(d) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-26	<p>FAIL TO ENSURE THAT, WHERE A WHEELCHAIR USER USING A SPACE FACING THE FRONT OF THE VEHICLE, THE WHEELCHAIR RESTRAINT SYSTEM IS ATTACHED IN ACCORDANCE WITH THE RELEVANT INSTRUCTIONS</p> <p>- DRIVER OR CONDUCTOR</p>	<p>Instructions must be situated in a position readily visible to any person using the system.</p>	P	N/A	<p>Regulation 12(4)(f) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-28	<p>FAIL TO OFFER SUCH ASSISTANCE AS MAY BE REQUIRED TO ENABLE WHEELCHAIR USER TO WEAR A RESTRAINT</p> <p>- DRIVER OR CONDUCTOR</p>	<p>Where a wheelchair user wishes to occupy a wheelchair space and it is fitted with a wheelchair restraint. Restraint must be applied in accordance with user instructions which must be situated in a position readily visible to any person using the system.</p>	P	N/A	<p>Regulation 14(4) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
BOARDING OF DISABLED PASSENGERS OR WHEELCHAIR USERS					
11-20	<p>PREVENTS A DISABLED PERSON, ACCOMPANIED BY AN ASSISTANCE, GUIDE OR HEARING DOG, TO BOARD AND TRAVEL WITH THE DOG</p> <p>- DRIVER, INSPECTOR OR CONDUCTOR</p>	<p>No suitable space available</p> <p>Suitable space available</p>	<p>NFA</p> <p>P</p>	<p>N/A</p> <p>N/A</p>	<p>Regulation 5(7) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-21	<p>FAIL TO ASSIST A NON-WHEELCHAIR USER TO BOARD OR ALIGHT</p> <p>- DRIVER OR CONDUCTOR</p>	<p>When requested to do so and only if there is no risk to the person or any other passenger's health, safety or security or a risk to the vehicle's safety and security</p>	<p>P</p>	<p>N/A</p>	<p>Regulation 14(3) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-22	<p>FAIL TO ENSURE THAT A DISABLED PERSON WHO IS NOT A WHEELCHAIR USER, WHEN BOARDING OR ALIGHTING, CAN USE AN ENTRANCE OR EXIT</p> <p>- DRIVER OR CONDUCTOR</p>	<p>If entrance / exit used solely for emergency purposes or if it would constitute a health and safety or vehicle security risk to allow use of entrance or exit</p> <p>Use of entrance or exit poses no risk to any passenger's health, safety or security or a risk to the vehicle's safety and security</p>	<p>NFA</p> <p>P</p>	<p>N/A</p> <p>N/A</p>	<p>Regulation 13(4) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-23	<p>PREVENTS A WHEELCHAIR USER FROM BOARDING THE VEHICLE</p> <p>- DRIVER, INSPECTOR OR CONDUCTOR</p>	<p>No unoccupied wheelchair space available</p> <p>Unoccupied wheelchair space available and wheelchair of a size / type that can be safely located in wheelchair space and maximum seating capacity not exceeded.</p>	<p>NFA</p> <p>P</p>	<p>N/A</p> <p>N/A</p>	<p>Regulation 12(2) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-27	<p>FAIL TO PROVIDE REQUESTED ASSISTANCE TO A WHEELCHAIR USER TO BOARD OR ALIGHT</p> <p>- DRIVER OR CONDUCTOR</p>	<p>Applies when a wheelchair user wishes to board or alight and requires assistance to do so and only if there is no risk to the person or any other passenger's health, safety or security or a risk to the vehicle's safety and security</p>	<p>P</p>	<p>N/A</p>	<p>Regulation 14(2) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-35	<p>PERMITS THE BOARDING OR ALIGHTING OF A VEHICLE WHERE HE/SHE IS NOT SATISFIED IT CAN BE DONE SAFELY BECAUSE THE KNEELING SYSTEM, FOLDING OR RETRACTABLE STEP IS FAULTY OR THERE IS A FAILURE IN OPERATION</p> <p>- DRIVER OR CONDUCTOR</p>	<p>Applies to wheelchair user, other disabled person or any other passenger</p>	<p>P</p>	<p>N/A</p>	<p>Regulation 15(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
EQUIPMENT TO BE CARRIED					
11-2	PSV - NO FIRE EXTINGUISHER CARRIED	<p>Exceptional reasons given, eg theft</p> <p>Otherwise than above and emergency door locked</p> <p>If operator then fail to comply with the ORN requirements</p>	<p>VW</p> <p>ORN</p> <p>FUE</p>	<p>VW</p> <p>VW</p> <p>N/A</p>	The Public Service Vehicles (Conditions of Fitness, Equipment, Use and Certification) Regulations 1981, Regulation 35. Public Passenger Act 1981, Section 67, SO-2
11-3	PSV - NO FIRST AID EQUIPMENT CARRIED	No requirement on local services except schedule 6 minibuses anytime	VW	VW	Regulation 36 of The Public Service Vehicles (Conditions of Fitness, Equipment, Use and Certification) Regulations 1981, SO-2
EQUIPMENT - RELATING TO ASSISTING DISABLED PASSENGERS OR WHEELCHAIR USERS					
11-29	FAIL TO CARRY A PORTABLE RAMP ON A VEHICLE ENGAGED ON A LOCAL OR SCHEDULED SERVICE - DRIVER OR CONDUCTOR	A portable ramp must be carried where there is no fitted boarding lift or ramp	P	N/A	Reg 12(4)(a) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-30	<p>FAIL TO SECURELY STOW ANY BOARDING LIFT, RAMP OR PORTABLE RAMP IS IN ITS NORMAL POSITION FOR VEHICLE TRAVEL BEFORE VEHICLE IS DRIVEN</p> <p>- DRIVER OR CONDUCTOR</p>	<p>- If driver unable to stow equipment because of a fault with equipment</p> <p>- If no danger to passengers or other road users exists.</p> <p>- If danger to passengers or other road users exists or injury has occurred.</p>	<p>NFA</p> <p>VW</p> <p>P</p>	<p>N/A</p> <p>N/A</p> <p>N/A</p>	<p>Reg 12(4)(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-32	<p>FAIL TO ENSURE THAT A SEAT IN A WHEELCHAIR SPACE SAFELY STOWED WHEN NOT IN USE OR IS SECURED WHEN IN USE</p> <p>- DRIVER OR CONDUCTOR</p>	<p>For seats that can be removed or dismantled.</p> <p>- If no danger to passengers exists.</p> <p>- If danger to passengers or injury has occurred.</p>	<p>VW</p> <p>P</p>	<p>N/A</p> <p>N/A</p>	<p>Reg 12(5)(a)&(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-31	<p>FAIL TO ENSURE THAT A SEPARATE MEANS OF MANUAL CONTROL IS CARRIED ON THE VEHICLE FOR THE MANUAL OPERATION OF A BOARDING LIFT OR RAMP ENGAGED ON A LOCAL OR SCHEDULED SERVICE</p> <p>- DRIVER OR CONDUCTOR</p>	<p>In the event of a power failure a separate means of manual control must be available</p>	<p>P</p>	<p>N/A</p>	<p>Reg 12(4)(c) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-33	FAIL TO OPERATE A KNEELING SYSTEM OR A FOLDING / RETRACTABLE STEP - DRIVER OR CONDUCTOR	<p>The driver or conductor must consider it necessary to operate the equipment, or have been requested to do so, to enable the person to board or alight. Must be done in a manner that the distance between the vehicle and ground / kerb is kept to a reasonably practical minimum</p> <p>-If there is a fault or failure in the equipment preventing its proper operation</p> <p>-Equipment can be properly operated</p>	<p style="text-align: center;">NFA</p> <p style="text-align: center;">P</p>	<p style="text-align: center;">N/A</p> <p style="text-align: center;">N/A</p>	<p>Regulation 13(2)(a), (b) & (3) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>
11-34	FAIL TO ENSURE THAT ANY BOARDING LIFT / RAMP OR PORTABLE RAMP IS SAFELY DEPLOYED IN ITS CORRECT OPERATING POSITION - DRIVER OR CONDUCTOR	<p>Applies when a wheelchair user wishes to board or alight</p> <p>-If there is a fault or failure in the equipment preventing its operation</p> <p>Equipment can be properly operated:-</p> <p>- If no danger to passengers exists.</p> <p>- If danger to passengers or injury has occurred.</p>	<p style="text-align: center;">NFA</p> <p style="text-align: center;">VW</p> <p style="text-align: center;">P</p>	<p style="text-align: center;">N/A</p> <p style="text-align: center;">N/A</p> <p style="text-align: center;">N/A</p>	<p>Regulation 14(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)</p>

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-35	<p>PERMITS THE BOARDING OR ALIGHTING OF A VEHICLE WHERE HE/SHE IS NOT SATISFIED IT CAN BE DONE SAFELY BECAUSE THE KNEELING SYSTEM, FOLDING OR RETRACTABLE STEP IS FAULTY OR THERE IS A FAILURE IN OPERATION</p> <p>- DRIVER OR CONDUCTOR</p>	Applies to wheelchair user, other disabled person or any other passenger	P	N/A	Regulation 15(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable (driver only)
MISCELLANEOUS					
11-1	<p>DRIVER SMOKING ON PSV (WITHOUT PASSENGERS PERMISSION WHERE RELEVANT)</p>		VW	VW	The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990, Regulation 5(3)(b) & (4), Public Passenger Vehicles Act 1981, Section 24, SO-
11-15	<p>NO PRESCRIBED SIGNS (INDICATING THAT CHILDREN ARE ON BOARD) FITTED TO THE FRONT AND REAR OF A BUS CARRYING CHILDREN TO OR FROM SCHOOL</p>	<p>Offence rectified on site</p> <p>Otherwise than above</p> <p>If operator then fail to comply with the ORN requirements</p>	VW	N/A	Regulation 17A & Schedule 21A of the Road Vehicles Lighting Regulations 1989 and Section 42 of the Road Traffic Act 1988, SO-4
11-15.1	CAUSE		ORN	N/A	
11-15.2	PERMIT		FUE	N/A	
			FUE	N/A	

SECTION 11 – PSV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
11-5	FAIL TO NOTIFY ALTERATION	Failure to inform VOSA of a notifiable alteration	FUE	N/A	Section 20(2) & (4) of The Public Passenger Vehicles Act 1981, SO-3
11-17	DRIVER USING A MICROPHONE	<p>Driver is using a hand held microphone but this is not having an adverse effect on his control of the vehicle</p> <p>Driver is using a hand held microphone and this is causing the vehicle to weave from side to side</p> <p>Use of any hands free microphone</p>	<p>VW</p> <p>£60 FP</p> <p>NFA</p>	<p>VW</p> <p>£60 FP</p> <p>NFA</p>	Regulation 4(1) of the Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990, and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2
11-4	FAIL TO ENSURE SAFETY OF PASSENGERS ON THE VEHICLE - DRIVER OR CONDUCTOR	Driver/conductor owes duty of care to passengers when in the vehicle and when alighting or disembarking the vehicle at recognised stops.	FUE	VW	Regulation 5(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 (1020) (SI), Public Passenger Vehicles Act 1981, Section 24, SO-3

SECTION 12 – HGV MISCELLANEOUS OFFENCES

SECTION 12 – HGV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
12-1	USE A GOODS VEHICLE FOR HIRE OR REWARD ON AN INTERNATIONAL JOURNEY WITHOUT A COMMUNITY AUTHORISATION - DRIVER - OPERATOR	Operator holds an authorisation, but driver was not carrying and a reasonable excuse was given	VW	VW	Article 3(1), EEC 881/92, Reg 3, Goods Vehicles (Community Authorisations) Regulations 1992, Section 2(2) European Communities Act 1972, SO-4
		Otherwise than above	£60 FP	GV170 £60 FP	
		Operator holds an authorisation, but driver was not carrying and a reasonable excuse was given	AL	N/A	
		Otherwise than above	FUE	N/A	
12-1A	FAIL TO RETURN A COMMUNITY AUTHORISATION AND ALL CERTIFIED COPIES OF IT WHEN REQUESTED TO DO SO		FUE	N/A	Article 8(3), EEC 881/92, Regulation 9, Goods Vehicles (Community Authorisations) Regulations 1992, European Communities Act 1972, SO-4

SECTION 12 – HGV MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
12-2	<p>MISUSE OF A COMMUNITY AUTHORISATION WHILST USING A GOODS VEHICLE FOR HIRE OR REWARD ON AN INTERNATIONAL JOURNEY</p> <p>- DRIVER</p> <p>- OPERATOR</p>		<p>£30 FP</p> <p>FUE</p>	<p>GV170 £30 FP</p> <p>N/A</p>	<p>Article 5(3) and Annex 1,EEC 881/92. Regulation 7, Goods Vehicles (Community Authorisations) Regulations 1992. European Communities Act 1972, SO-4</p>

SECTION 13 – GENERAL MISCELLANEOUS OFFENCES

SECTION 13 – GENERAL MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
13-1	OBSTRUCTION OF EXAMINER (DOMESTIC RULES)	Refusal to comply with a requirement under Section 99(2) or (3) of the Transport Act 1968.	£200 FP	N/A	Section 99(4)(b) of the Transport Act 1968 SO-3
13-2	OBSTRUCTION OF EXAMINER IN THE EXAMINATION OF A VEHICLE	Obstructs an Examiner in the exercise of his powers to detain and inspect a vehicle.	P	GV170 P	Section 68(3) of the Road Traffic Act 1988 SO-3
13-2A	FAIL TO COMPLY WITH THE DIRECTIONS TO STOP BY AN ACCREDITED PERSON	Refusal to comply with a person accredited under section 41 and schedule 5 para 8 of The Police Reform Act 2002	£30 FP	GV170 £30 FP	Road Traffic Act 1988. Section 163, SO-3
13-5	FAIL TO DISCLOSE NAME / ADDRESS	Driver fails to give Examiner his name and/or address or the name and/or address of the owner of the vehicle	FUE	VW	Section 165(3) of the Road Traffic Act 1988 SO-3

SECTION 13 – GENERAL MISCELLANEOUS OFFENCES

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	GB OPERATOR OR UK DRIVER	NON GB OPERATOR OR NON UK DRIVER	REG
13-3	<p>FORGERY AND MISUSE OF DOCUMENTS</p> <p>- DRIVER</p> <p>- OPERATOR</p>	For type of documents referred to in Section 173(2) of The Road Traffic Act 1988.	<p>P</p> <p>FUE</p>	<p>P</p> <p>N/A</p>	Road Traffic Act 1988, Section 173
13-6	DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION (GV170 OR GV171)		N/A	£200 FP	<p>Section 3(1) of the Road Traffic (Foreign Vehicles) Act 1972</p> <p>SO-5</p>
13-14	OBSTRUCTION OF EXAMINER (EC REGULATIONS)	Obstructs an Examiner in the exercise of his/her powers under 99ZB or 99ZF of the Transport Act 1968 only where the driver obstructs in relation to power of entry or seizure of documents.	FUE	GV170 P	<p>Section 99ZD(1)(b) of the Transport Act 1968</p> <p>SO-5</p>

SECTION 14 – CARRIAGE OF DANGEROUS GOODS

GUIDANCE NOTES

The following guidance indicates how VOSA Policy can be applied under the **CARRIAGE OF DANGEROUS GOODS AND USE OF TRANSPORTABLE PRESSURE EQUIPMENT REGULATIONS 2007**

Category 1 = highest - in most (but not all) cases level 1 should result in a PN (immediate prohibition).

Category 2 = medium - a deferred prohibition

Category 3 = lowest - verbal/written warning.

The appropriate risk category must be recorded on DG01 checklist & where applicable on prohibition issued.

In the notes are some examples of wording for the prohibition notices for certain offences. These are **ONLY** examples and the wording of the prohibition should always reflect the circumstances of the incident or encounter. Always consult the relevant Regulations for clarification of the Sections

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
LOADING / STOWING					
14 -1	NO EMERGENCY INFORMATION		1	PN	Regulation 63(7)
14 -2	NO INDICATION OF PRESENCE OF DANGEROUS GOODS	Carriage of DG without any indication of their presence (eg documents, marking or labelling on packages, placarding or marking on the vehicle)	1	PN	Regulation 53(6) & 63(7)
14 -3	INADEQUATE EMERGENCY INFORMATION	Emergency information does not match the goods carried	2	PN	Regulation 63(7)
		No indication of actions to take in the event of an accident	2	PN	
		Emergency information in language foreign to drivers	2	PN	
14 -4	NO OR INAPPROPRIATE DETAILS OF THE NATURE AND QUANTITY OF DANGEROUS GOODS CARRIED	The information specified in ADR 5.4 & 5.5 where relevant should form part of the transportation document	1	PN	Regulation 53(6)

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
VEHICLE MARKINGS					
14 -5	NO ORANGE PANELS & IN SCOPE	Regulation 91 applies to tanks/bulk only	1	PN	Regulation 53(4) & 91
14 -6	TANKER PLACARDS	Panels on one side only but not on the rear. Panels provided but not fully compliant	1	PN	Regulation 53(4) & 91 and Schedule 7
		Information is inconsistent with load / contradictory / misleading Use of HIN (Kemler) instead of EAC when engaged on GB domestic journey	1	Deferred PN	
14 -7	TANKERS – EMERGENCY PHONE NUMBERS	No response or seriously inadequate response to number	N/A	PN	Regulation 91 and Schedule 7
14 -8	DIRTY OR DAMAGED PLACARDS/PLATES	Panels meet requirements but are dirty, not vertical, not correctly positioned, partly obscured or too small	3	Verbal/ written warning	Regulation 53(4)

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
14 -9	FREIGHT CONTAINERS – NO MARKINGS DISPLAYED OR DANGER SIGNS DISPLAYED DO NOT MATCH GOODS CARRIED		1	PN	Regulation 53(4)
14 -10	EMPTY & CLEANED BUT ORANGE PLATED / PLACARDED VEHICLES	Tanker, container, bulk EMPTY & CLEANED but displaying labels	3	Verbal /written warning	Regulation 53(4) & 91 and Schedule 7
LOADING / STOWING					
14 -11	INSECURE LOAD	Presents danger & CANNOT be easily remedied Presents danger & CAN be remedied safely by the driver	1 2	PN Verbal /Written warning	Regulation 62
14 -12	LEAKAGES	Serious leakage which cannot be immediately dealt with by driver Minor leakage - can be dealt with safely & immediately by driver	1 2	PN Verbal/ written warning	Regulation 62

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
14 -13	INADEQUATE SEPARATION OF INCOMPATIBLE LOADS	Limited requirements- refer to ADR 7.5.2 & 7.5.4	1	Deferred PN	Regulation 62
EQUIPMENT					
14 -14	PERSONAL PROTECTIVE EQUIPMENT Equipment specified in the emergency information for use by the driver either not available or is inadequate. Mandatory items (warning signs, hand lamp, hi-vis jacket) – as specified in ADR 8.1.5	None Items missing None Items missing	2 2 2 2	Deferred PN Verbal/ written warning PN Deferred PN	Regulation 63(5)
14 -15	WHEEL CHOCKS	None	2	Verbal/ written warning	Regulation 63(5)

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
14 -16	FIRE EXTINGUISHERS	None, inadequate or discharged	2	PN	Regulation 63(5)
		Not in full compliance with ADR 8.1.4.4 eg not sealed or inspection dates not clear but extinguisher appears functional	3	Verbal/ written warning	
MODE OF CARRAIGE					
14 -17	DANGEROUS GOODS BEING CARRIED IN BULK OR TANK WHEN PROHIBITED BY ADR 3.2		1	Deferred PN	Regulation 62
VEHICLE & TANK REQUIREMENTS					
14 -18	TANK FITTINGS	Unprotected at chassis level or tank fittings extend behind rear protection	1 or 2	Verbal/ written warning	Regulation 65(2)
		Clearance between rear end protection and tank/fittings substantially less than 100mm	1 or 2	Verbal/ written warning	

SECTION 14 – CARRAIGE OF DANGEROUS GOODS

PLS CODE	OFFENCE DESCRIPTION	FURTHER GUIDANCE	RISK CATEGORY	ACTION	REG
14 -19	EXAMINATION PLATES	No plate to show last examination date or plate illegible	1 or 2	Verbal/ written warning	Regulation 60(4)
DRIVER TRAINING					
14 -20	NO ADR TRAINING CERTIFICATE	Driver has none or is not carrying it irrespective of his knowledge of the nature of the risks and actions to be taken No awareness training - driver of vehicle < 3,500 kgs gvw has not received appropriate awareness training when load 'in scope'	1	PN	Regulation 64(1)
14 -21	FAIL TO COMPLY WITH A PROHIBITION NOTICE ISSUED UNDER THE HEALTH & SAFETY AT WORK ACT 1974		P	N/A	Section 33(1)(g) and section 33(2)(a) Heath & Safety at work Act 1974

END OF DOCUMENT